

Strasbourg, 23 septembre 2005

# Compaction, dilatance et modes de rupture dans les roches de la croûte

Patrick Baud

**Habilitation à diriger des recherches**

**Laboratoire de Physique des Roches**

**Institut de Physique du Globe de Strasbourg, UMR CNRS-ULP 7516**

**Ecole et Observatoire des Sciences de la Terre**

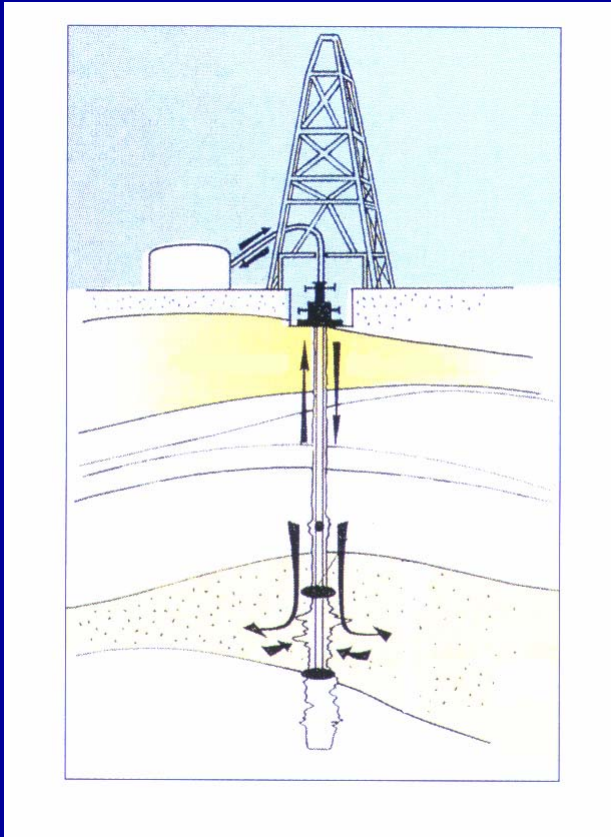
**Université Louis Pasteur (Strasbourg I)**



# Thèmes de recherche

- **transition fragile-ductile**
- **effet de l'eau**
- **anisotropie**
- **couplage déformation circulation de fluides**
- **bandes de compaction**

# Compaction localization in porous sandstone



Consequences of oil production :

- failure
- subsidence
- permeability decrease

homogeneous/localized deformation (*compaction bands*)

→ Influence on fluid flow in reservoirs ?

# Outline:

**I. Field observations**

**II. Compaction in porous sandstones:  
result from previous studies**

**III. Laboratory observations of compaction  
localization**

**IV. Influence on fluid flow**

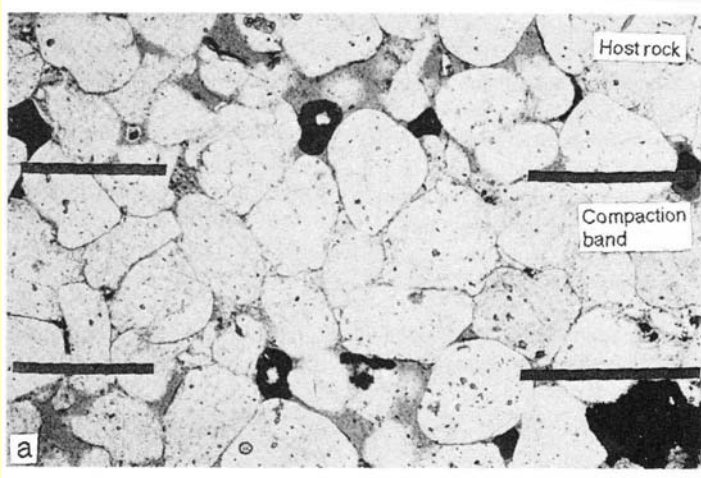
**V. Models**

**VI. Conclusions and future work**

# I. Field observations

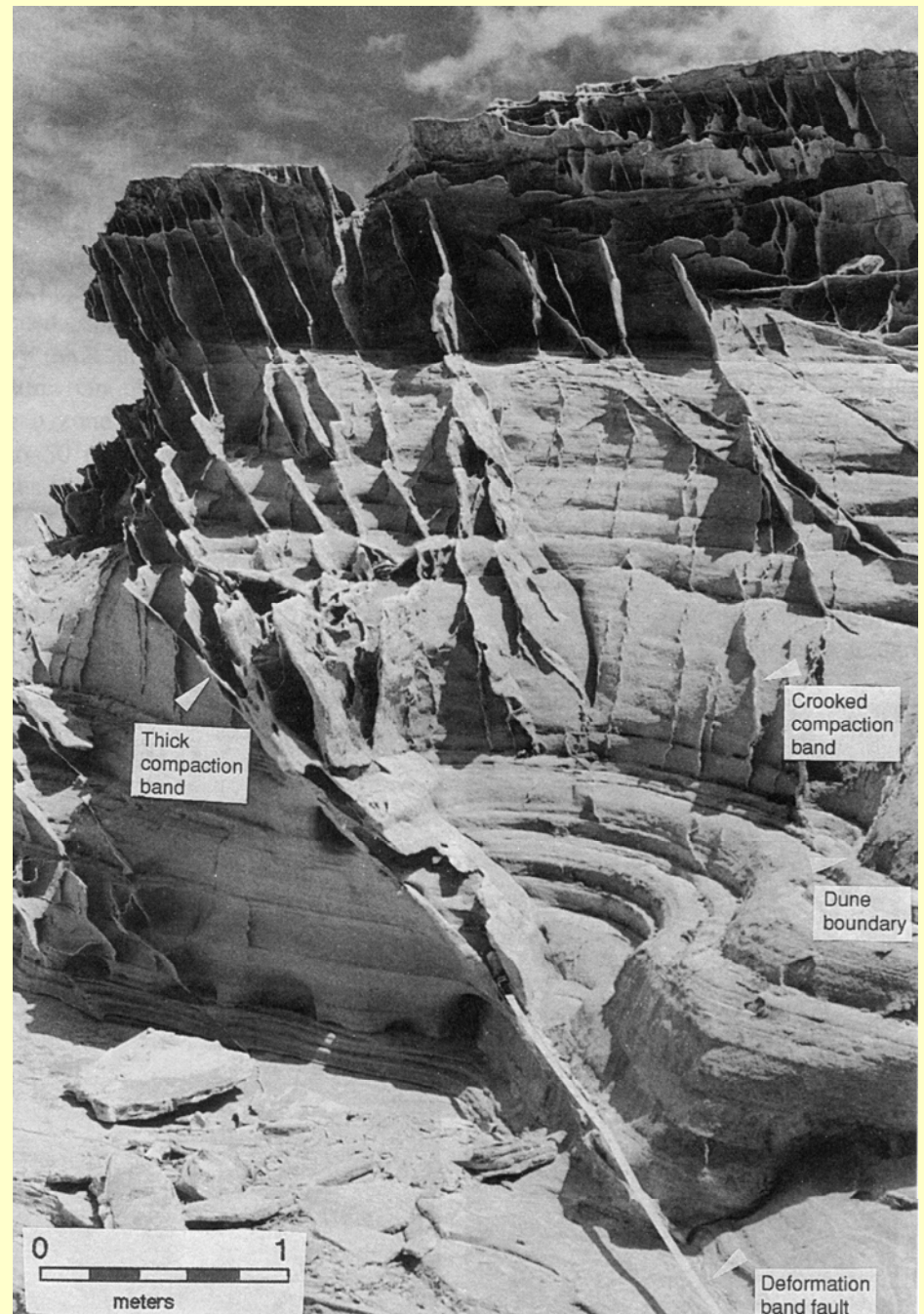
## Compaction bands

(Navajo sandstone, Utah)



- purely compactive deformation

- bands orthogonal to major principal stress

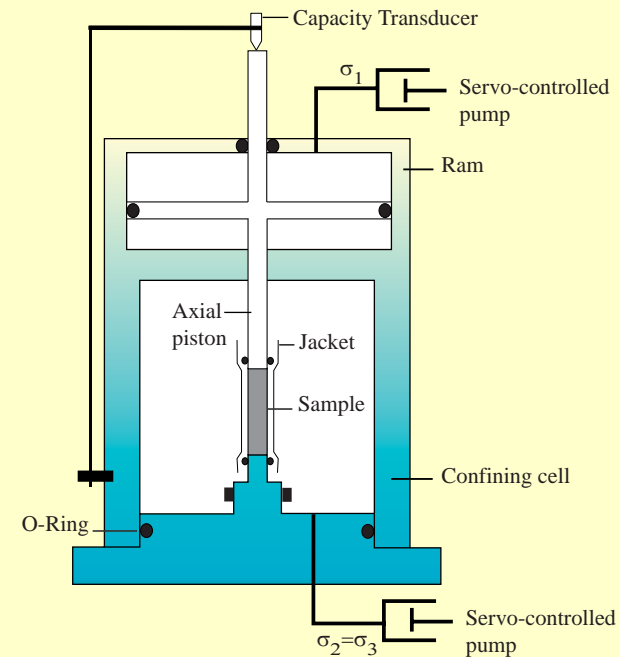
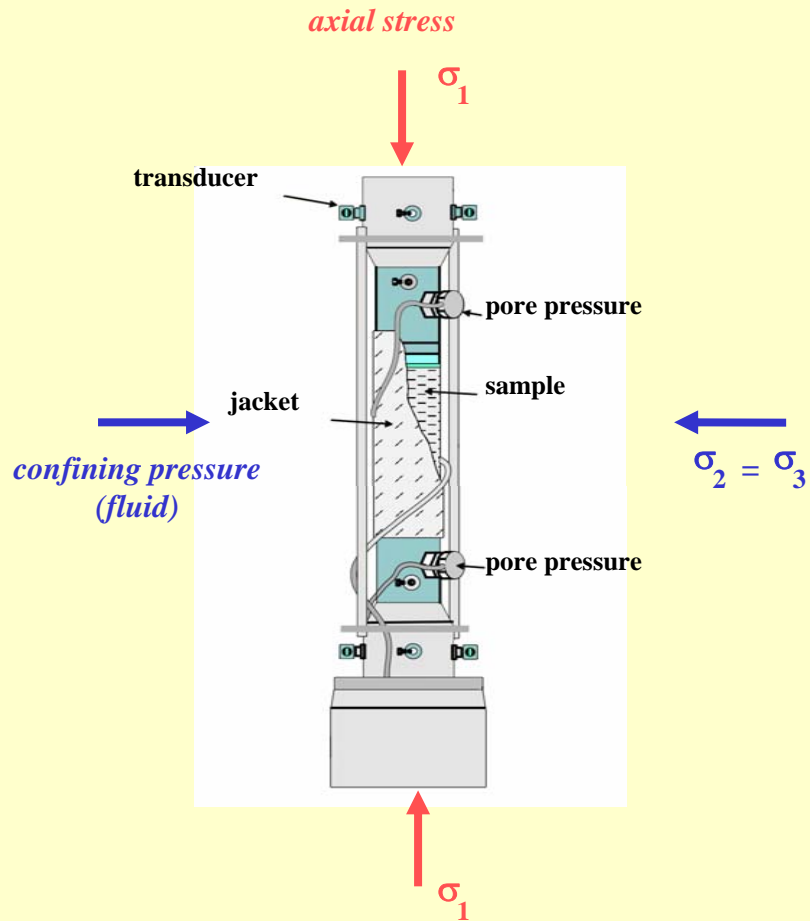


*Mollema and Antonellini (1996)*

## II. Compaction in porous sandstones: results from previous studies

### conventional triaxial experiments

- room temperature
- strain rate:  $10^{-5}/s$
- drained experiments:  $P_p = 10 \text{ MPa}$
- sample size: 40 mm x 20 mm



# Two end-member failure modes

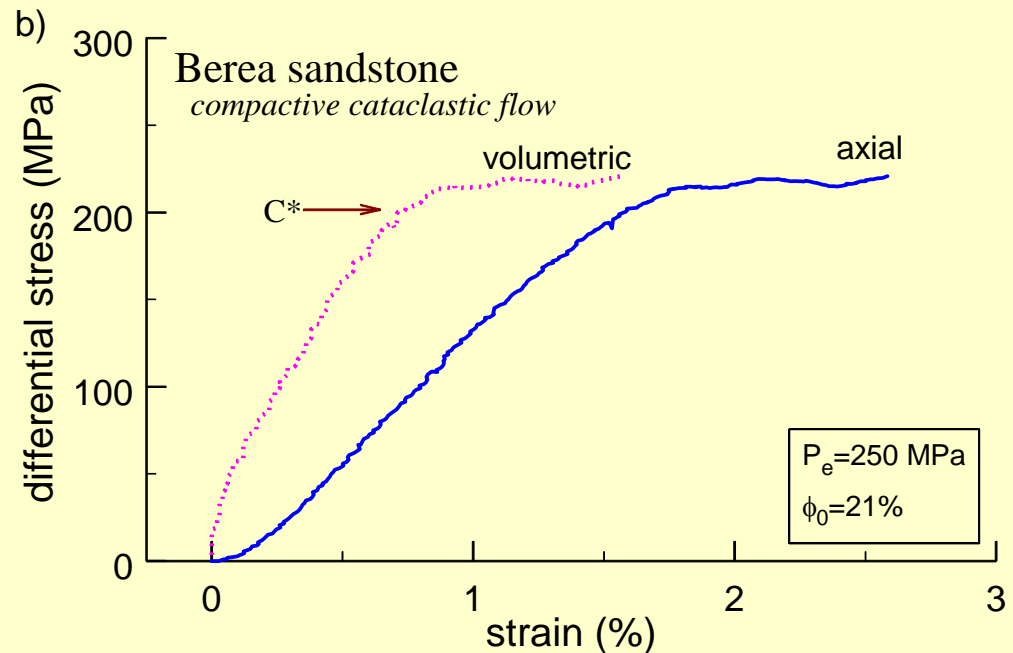
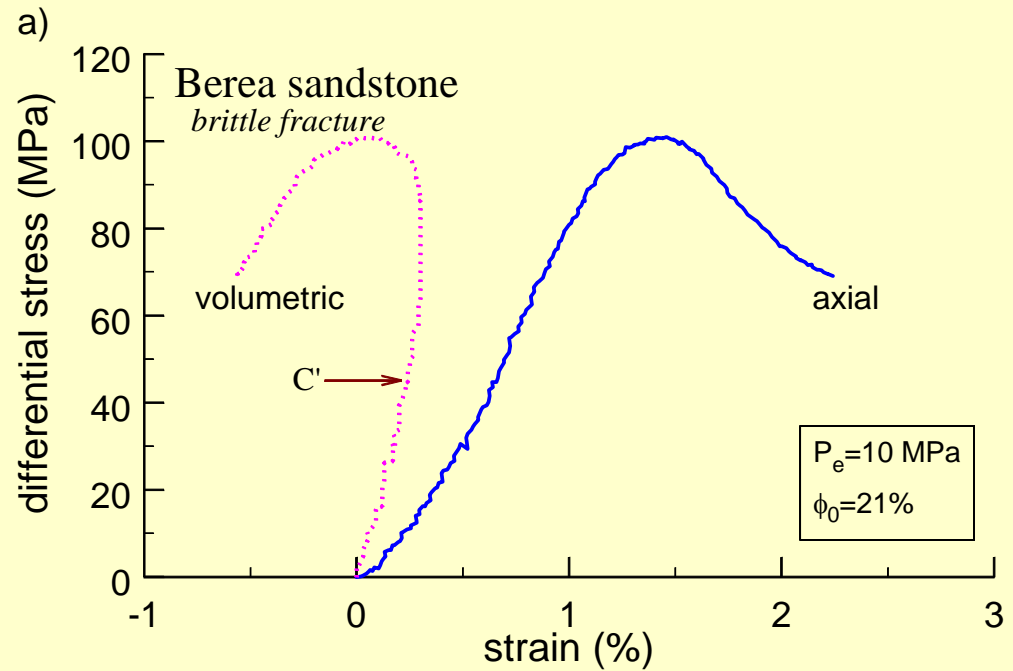
## *brittle faulting*

- dilatancy*
- strain softening*
- shear localization*

## *ductile flow*

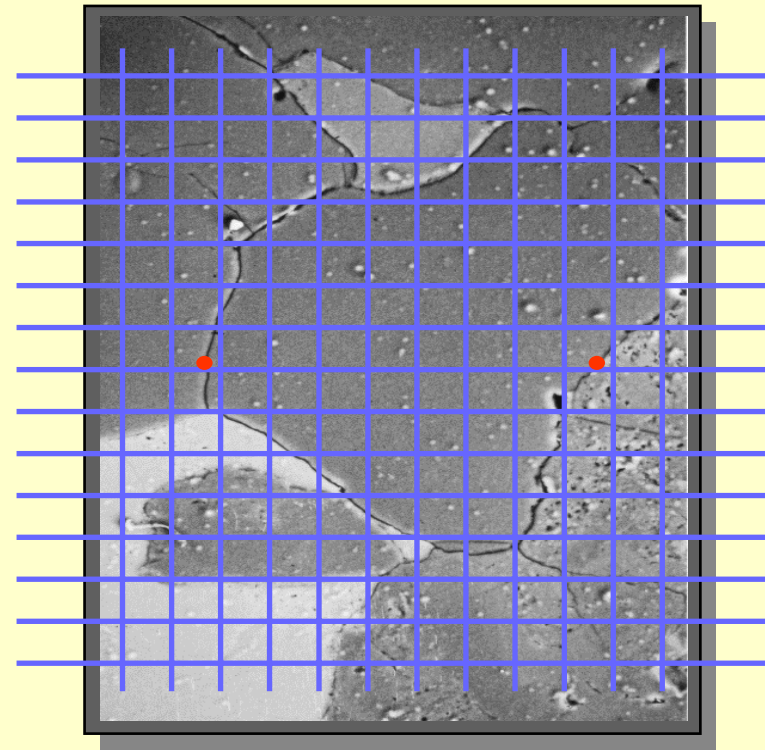
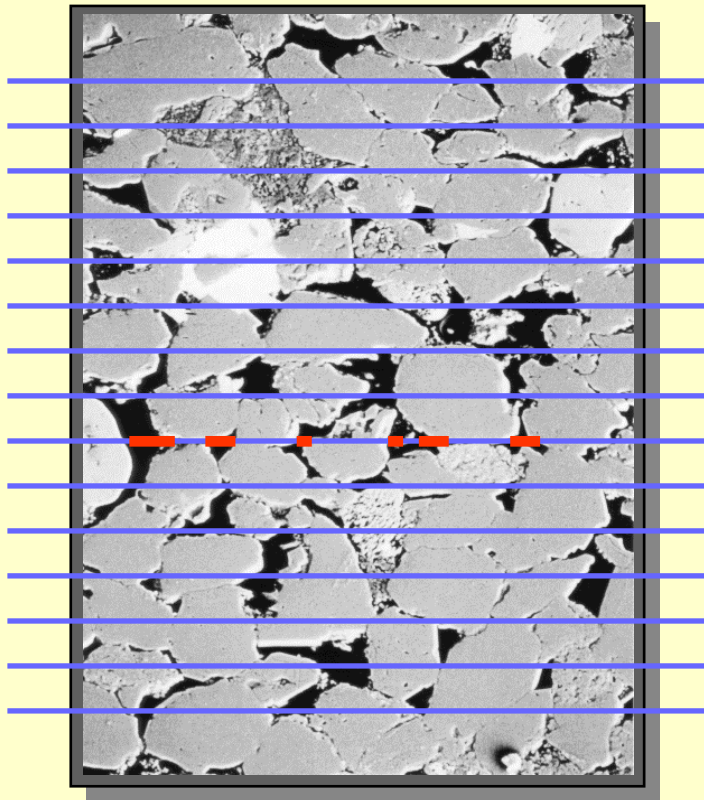
- compaction*
- strain hardening*
- delocalized cataclastic flow*

*Zhu and Wong (1997)*



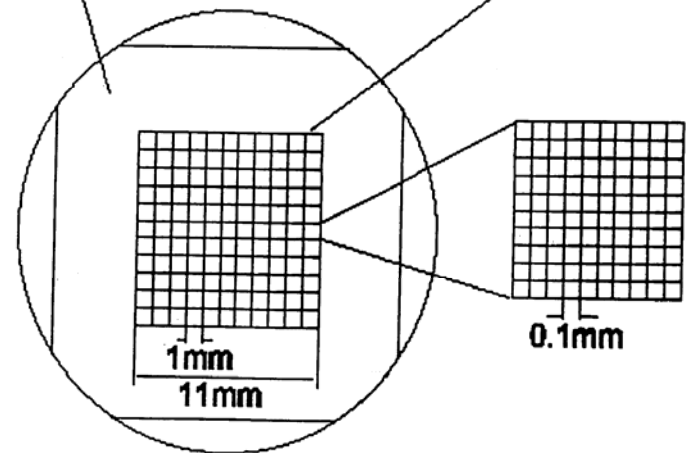
# Quantitative Stereology and Spatial Distribution of Damage

- *specific crack surface area  $S_v$*
- *stress-induced anisotropy  $\omega$*
- *chord length distribution*



Crack section

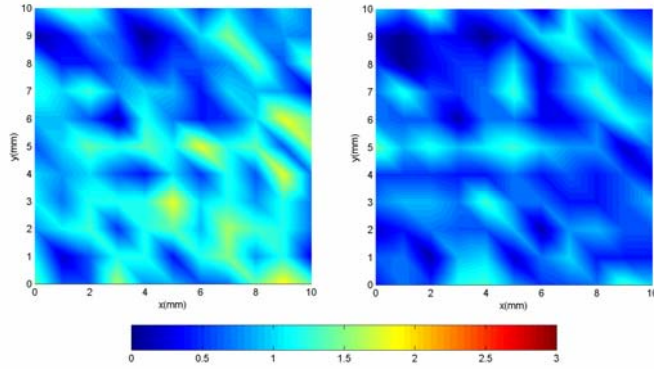
Observed area



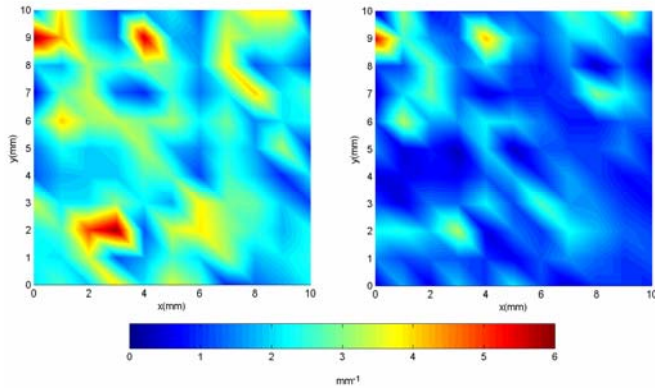


**Crack Intercept Density ( $P_L$ ) :**  
*perpendicular*      *parallel*

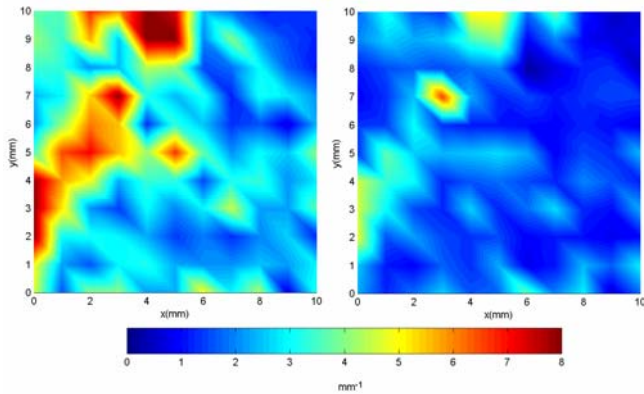
**WB1**  
*(pre-peak)*



**WB2**  
*(peak)*

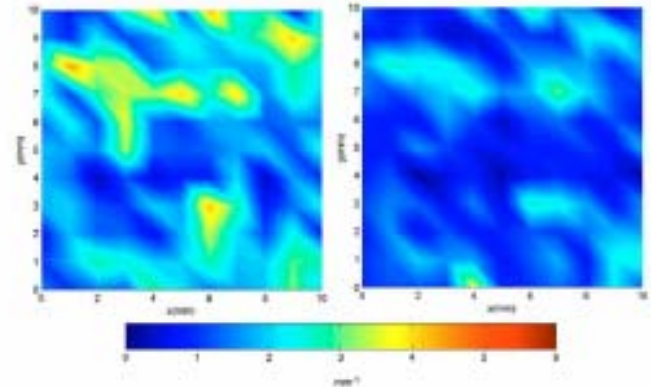


**WB3**  
*(post-peak)*

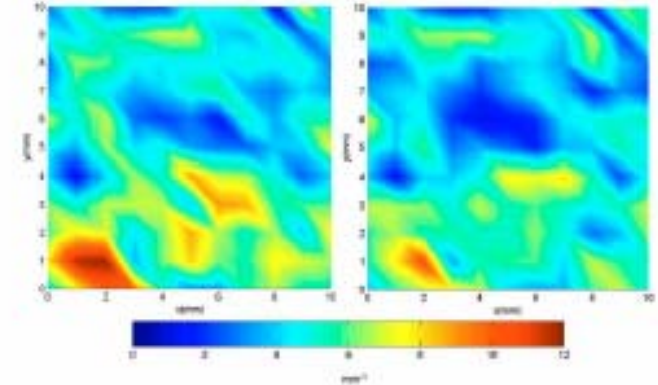


**Crack Intercept Density ( $P_L$ ) :**  
*perpendicular*      *parallel*

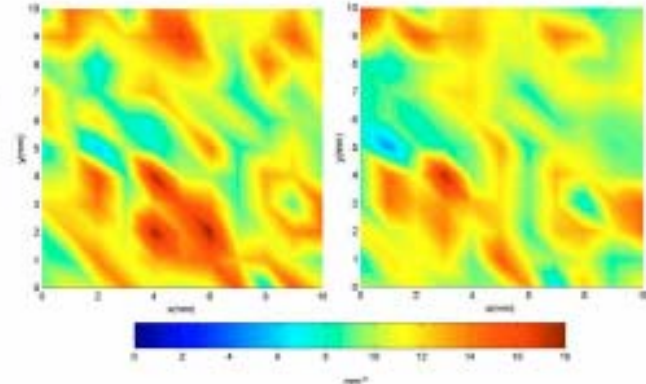
**WD1**  
*( $\varepsilon_1 = 1.7\%$ )*



**WD2**  
*( $\varepsilon_1 = 4.0\%$ )*



**WD3**  
*( $\varepsilon_1 = 9.5\%$ )*

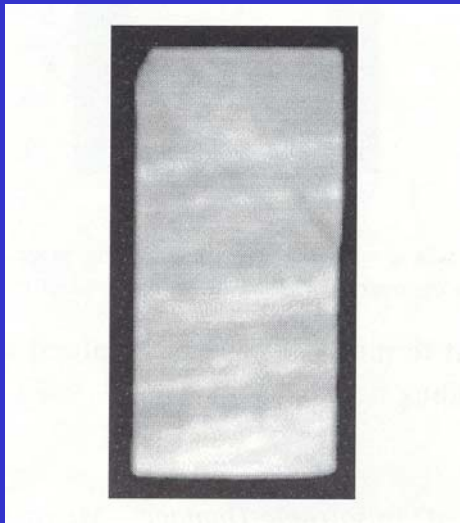
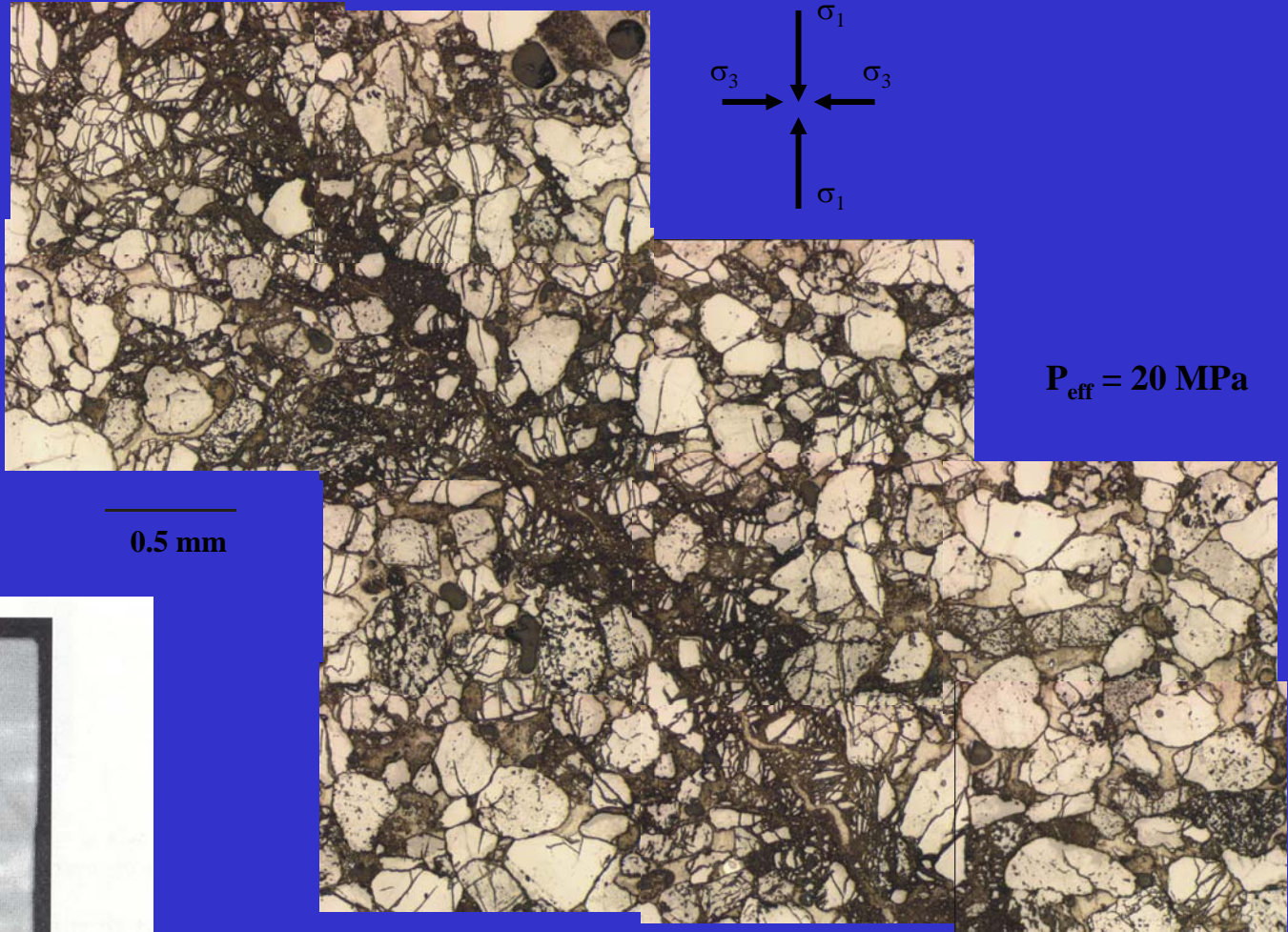


$P_{eff} = 10$  MPa

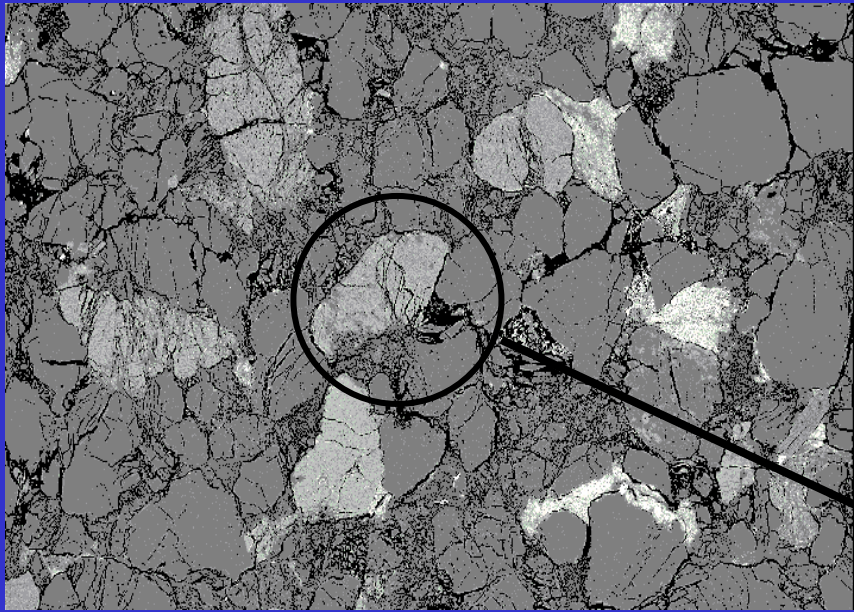
Darley Dale sandstone (*Wu, Baud & Wong, 2000*)

$P_{eff} = 200$  MPa

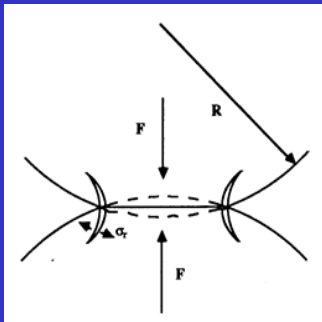
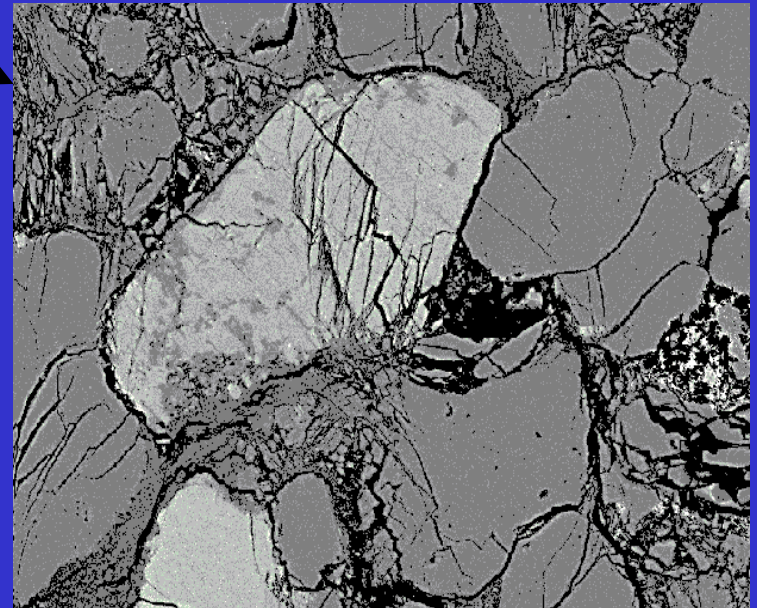
# Shear band in a sample of Rothbach sandstone deformed in the brittle regime



# Sample of Darley Dale sandstone deformed in the ductile regime



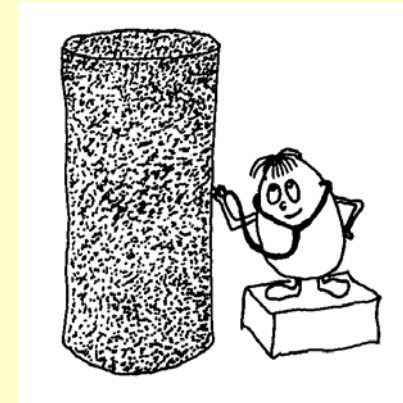
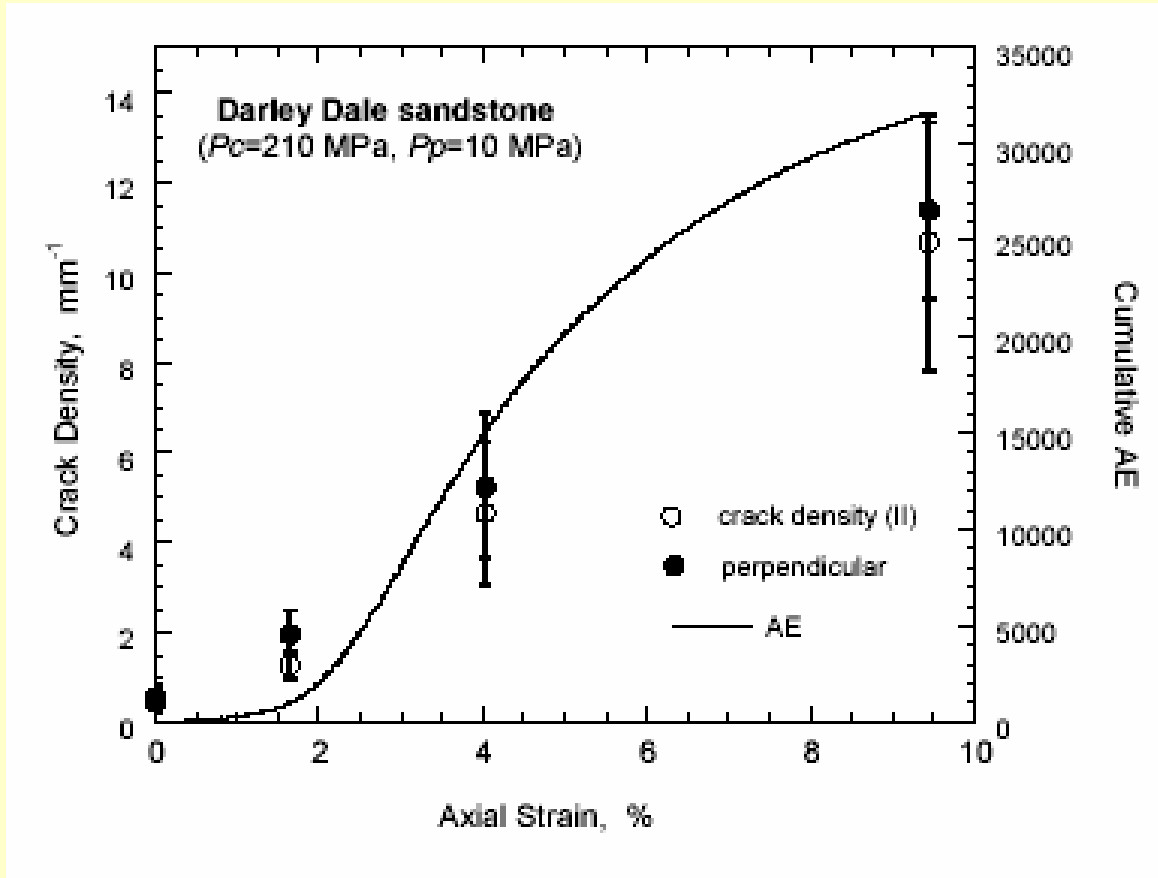
500 μm



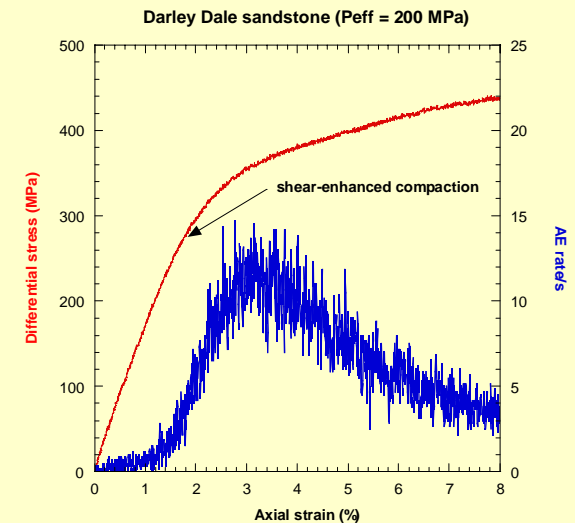
**Hertzian fracture  
mechanics model**

*Wu, Baud and Wong (2000)*

# Damage Evolution and Acoustic Emission Activity



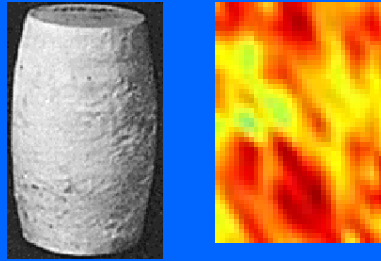
*Wu, Baud & Wong (2000)*



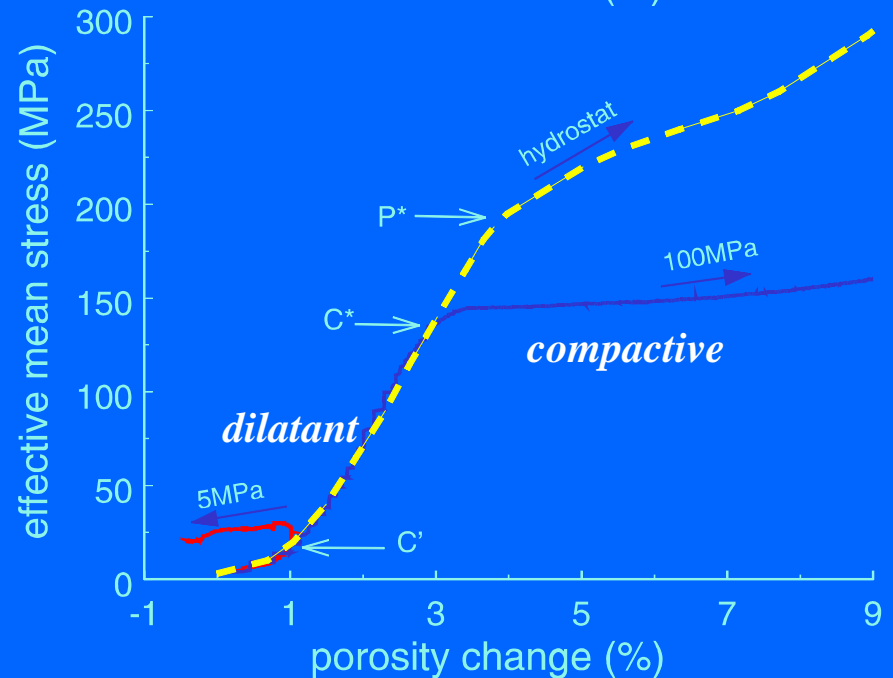
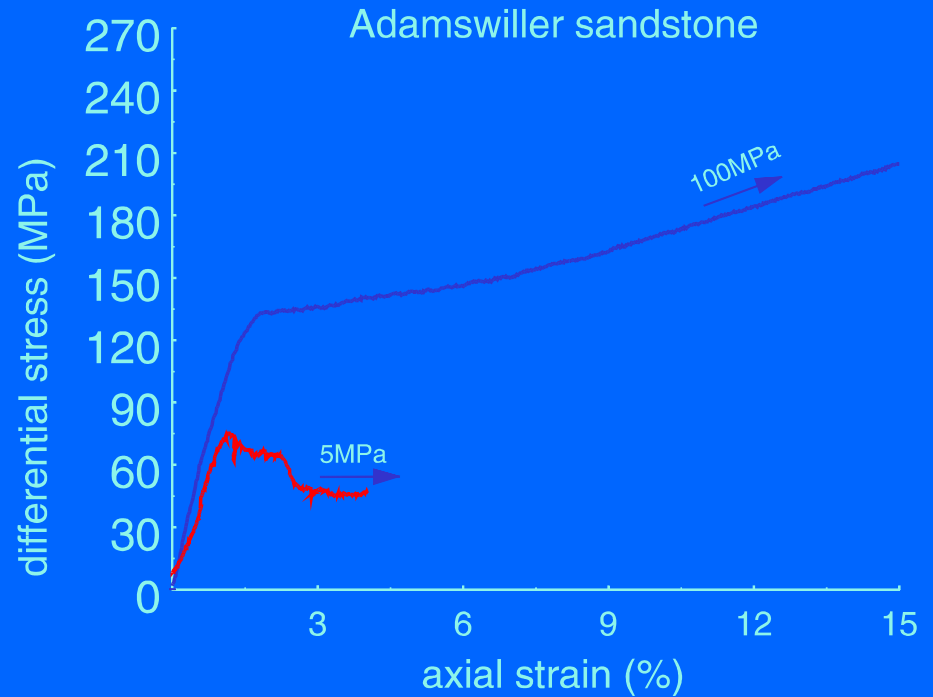
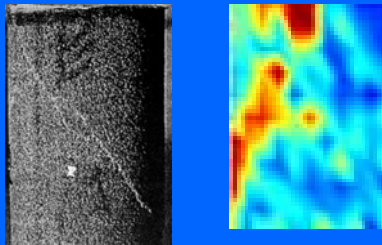
effective mean stress:  
 $(\sigma_1 + 2\sigma_3)/3 - P_p$

$P^*$ : grain crushing pressure

$C^*$ : onset of shear-enhanced compaction



$C'$ : onset of dilatancy



# FAILURE MODES

brittle fracture:  
*shear localization*

☞ *dilatancy*

☞ *low confinement*

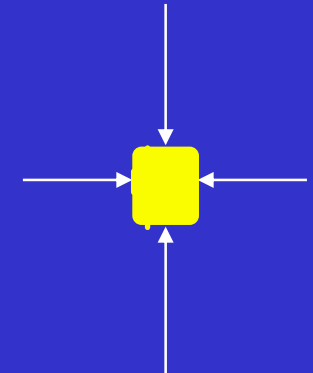
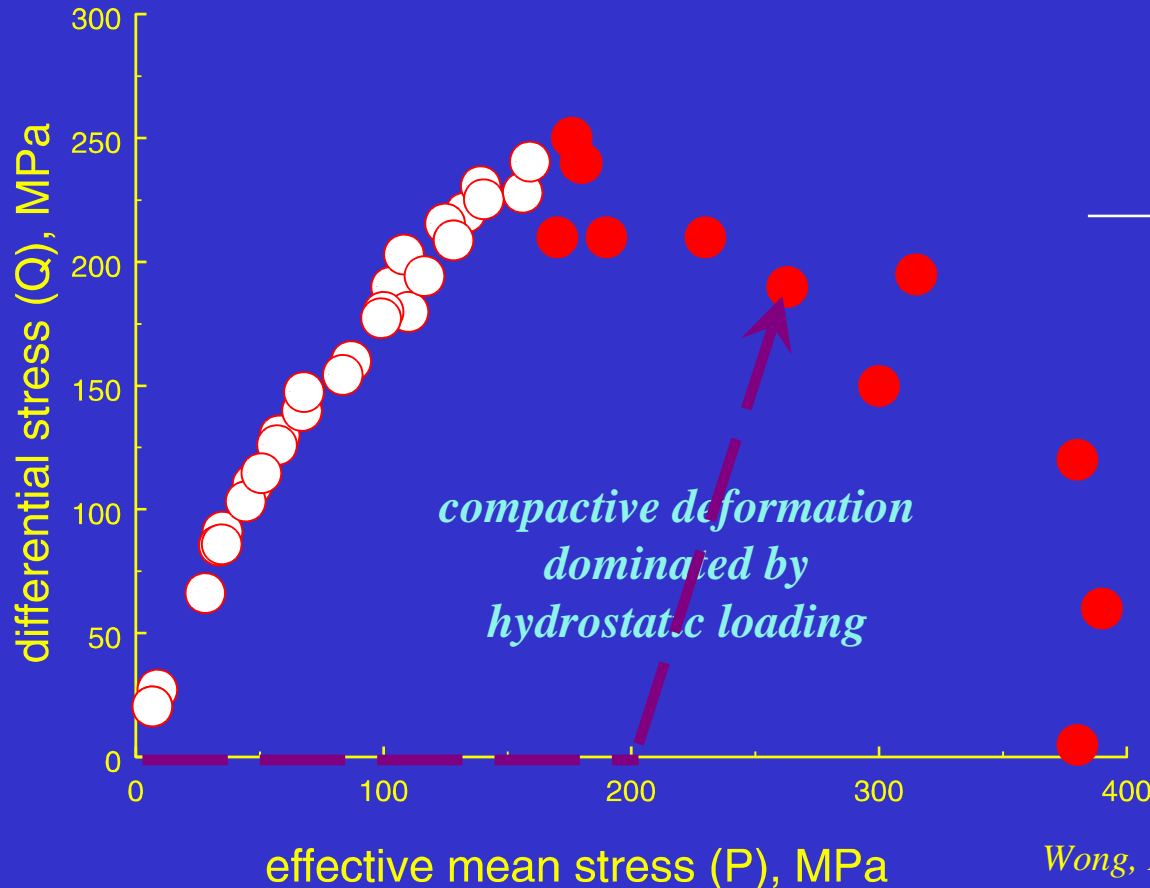
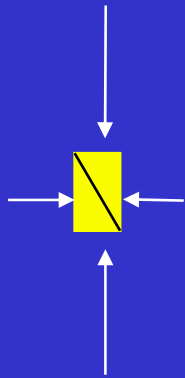
☞ *high stress*

compactive yield: *bulk failure*

☞ *shear-enhanced compaction*

☞ *high confinement*

☞ *low nonhydrostatic stress*

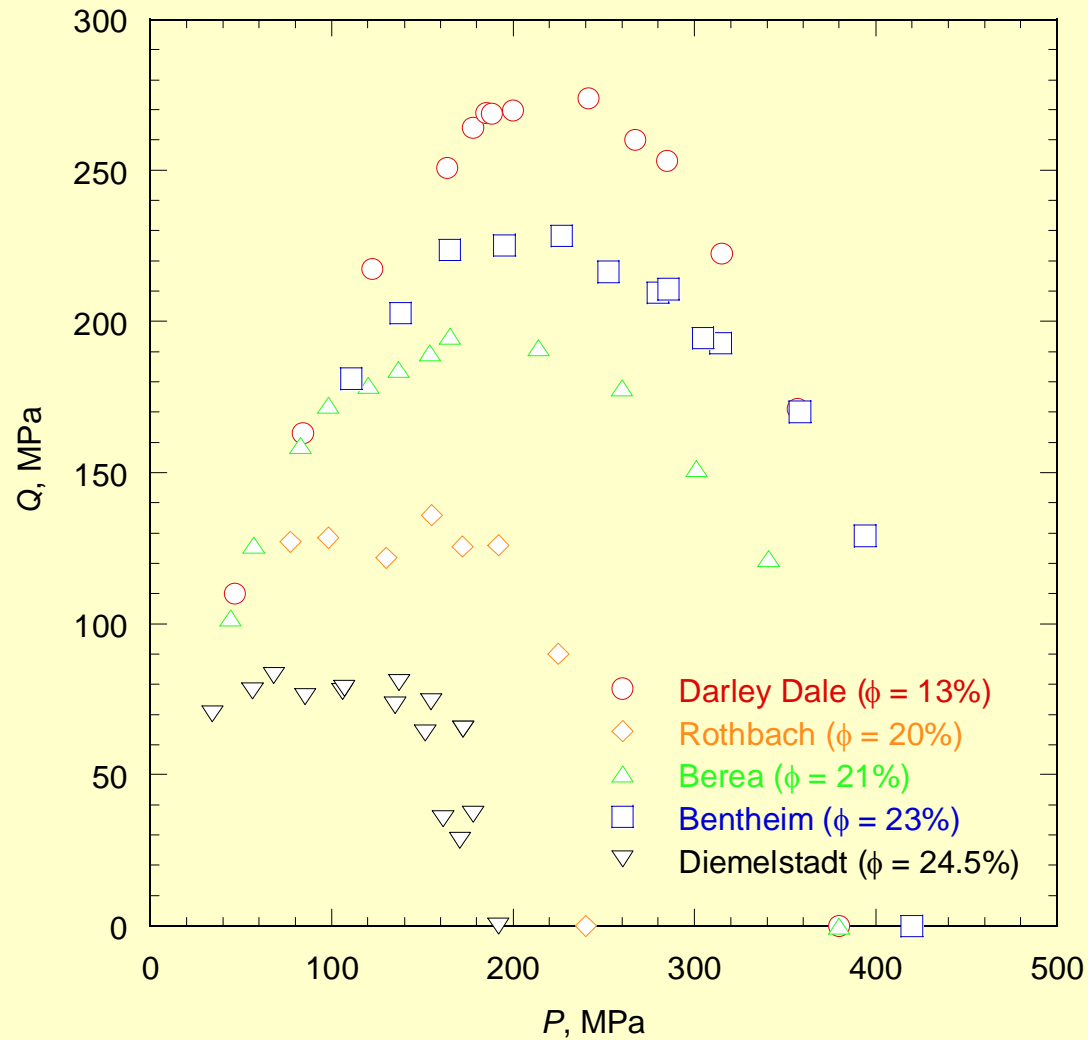


**BEREA  
SANDSTONE**

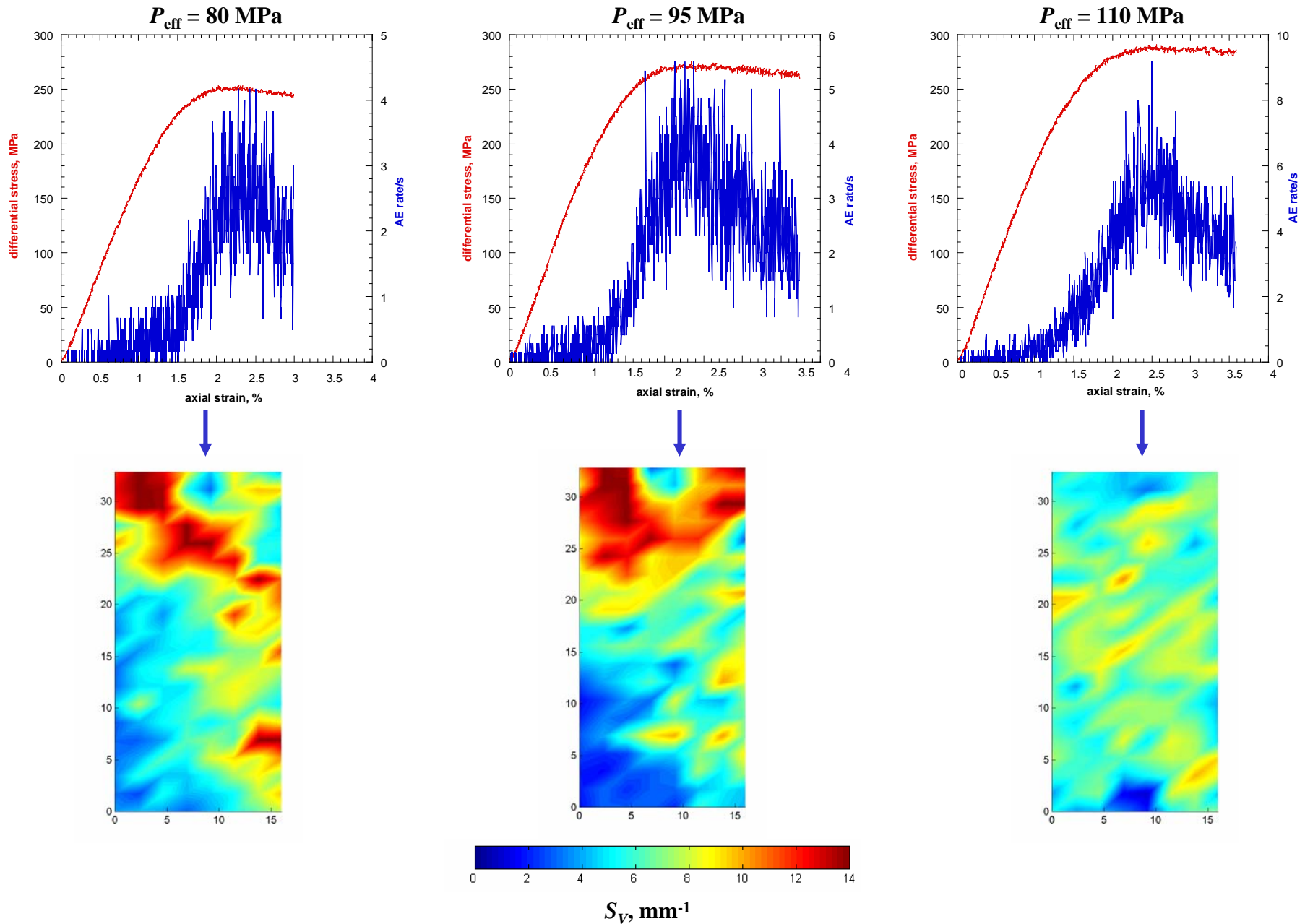
Wong, David and Zhu (1997)

## II. Laboratory observations of compaction localization

→ Brittle-ductile transition ?



# Darley Dale sandstone ( $\phi = 13\%$ )



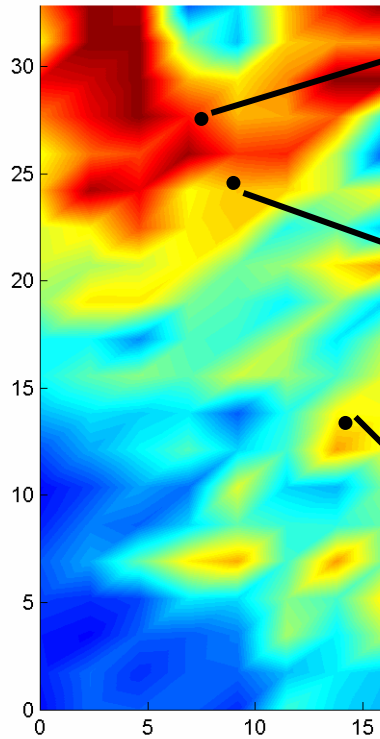


# 1. Conjugate shear bands

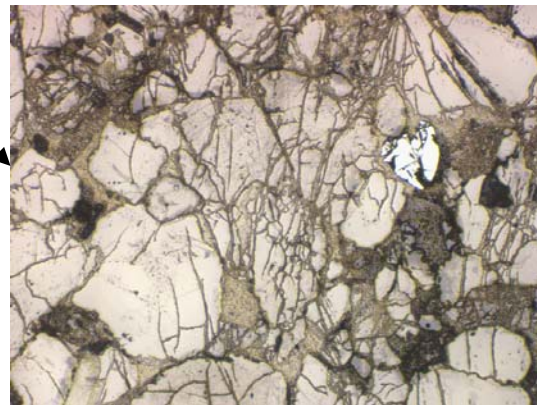
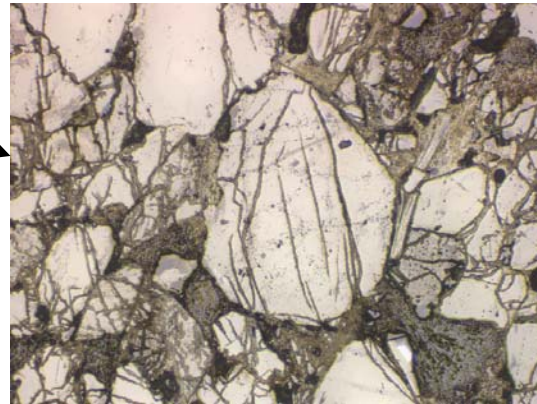
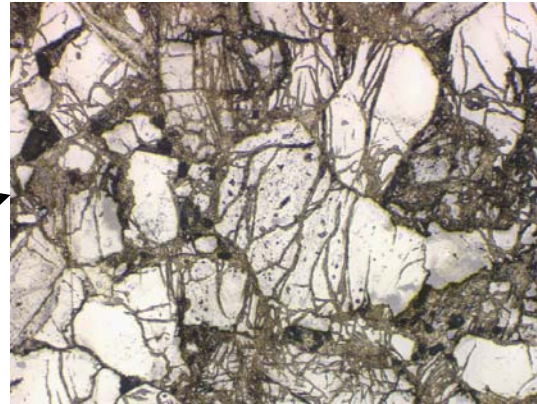
*Compacting shear bands (Bésuelle, 2001)*

$P_{\text{eff}} = 95 \text{ MPa}$

$\varepsilon_{\text{axial}} = 3.75\%$



$S_v, \text{mm}^{-1}$



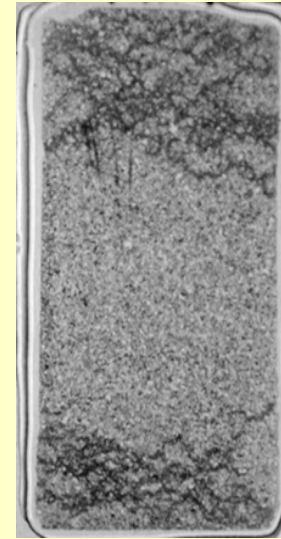
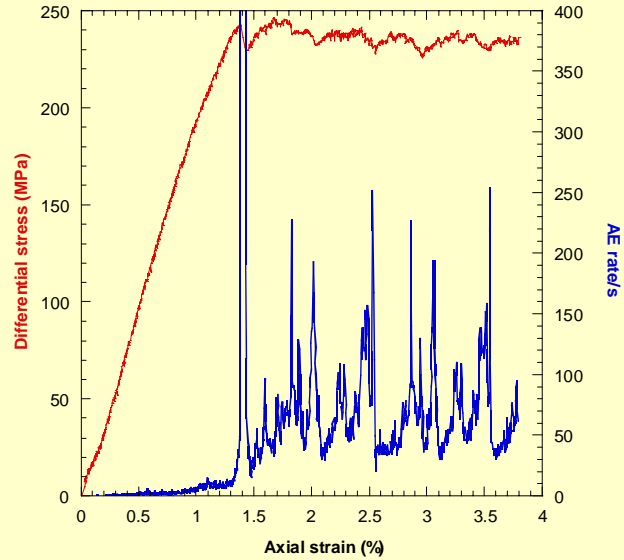
**Darley Dale sandstone ( $\phi = 13\%$ )**

**0.5 mm**

# Bentheim sandstone

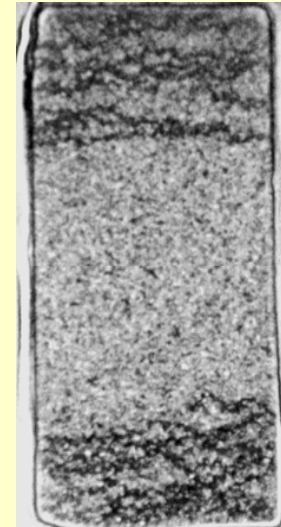
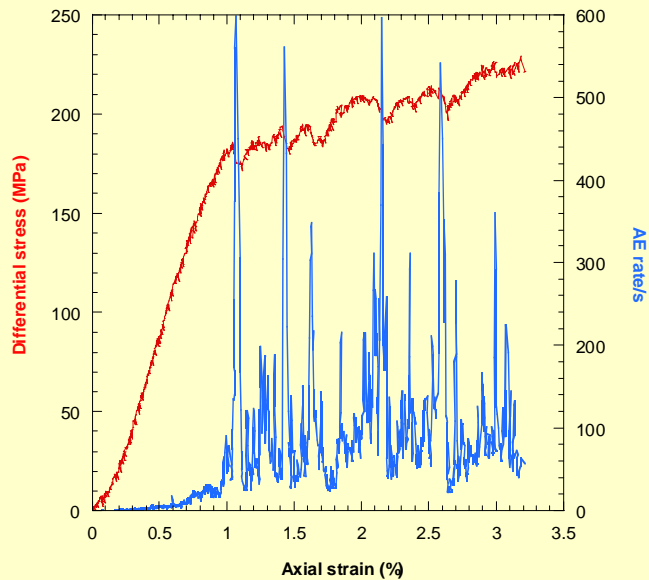
( $\phi = 23\%$ )

*confining  
pressure*  
**120 MPa**



1 cm

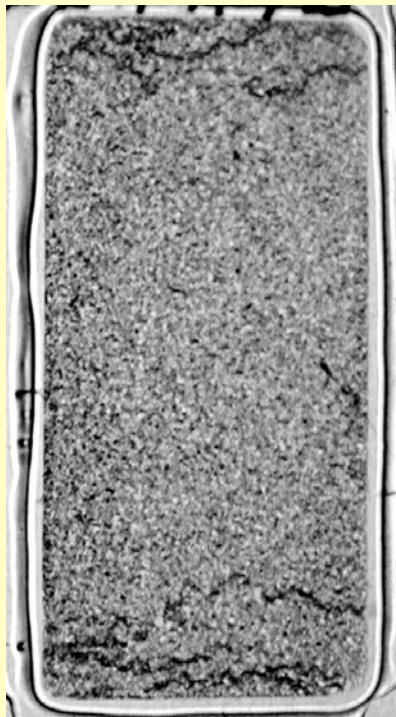
**300 MPa**



1 cm

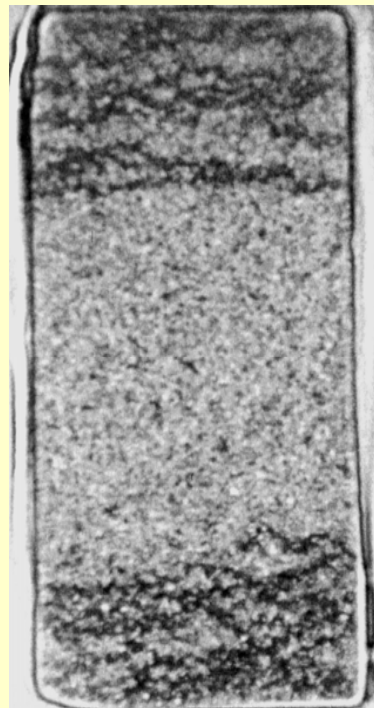
## 2. Discrete compaction bands

Bentheim sandstone:  $P_c = 300 \text{ MPa}$



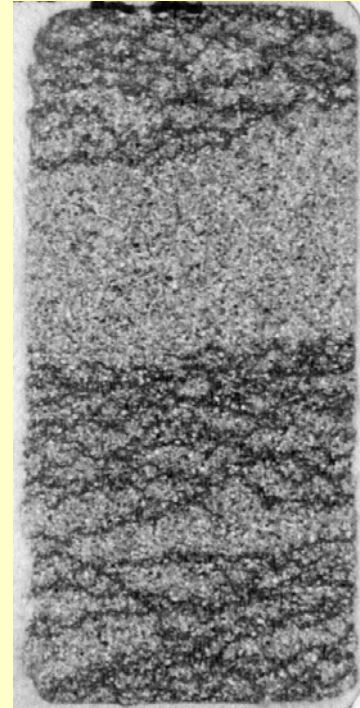
1 cm

$$\varepsilon_{ax} = 1.4 \%$$



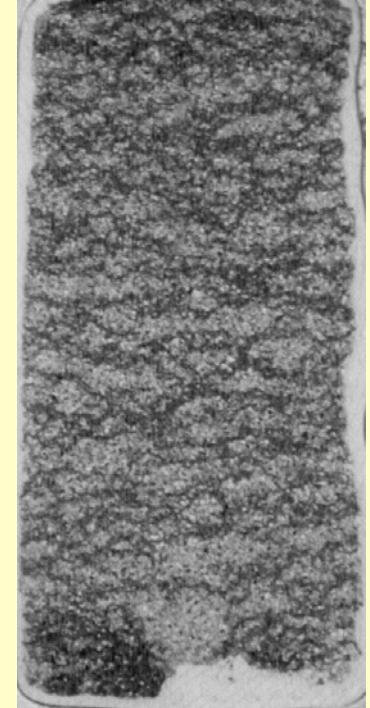
1 cm

$$\varepsilon_{ax} = 3.1 \%$$



1 cm

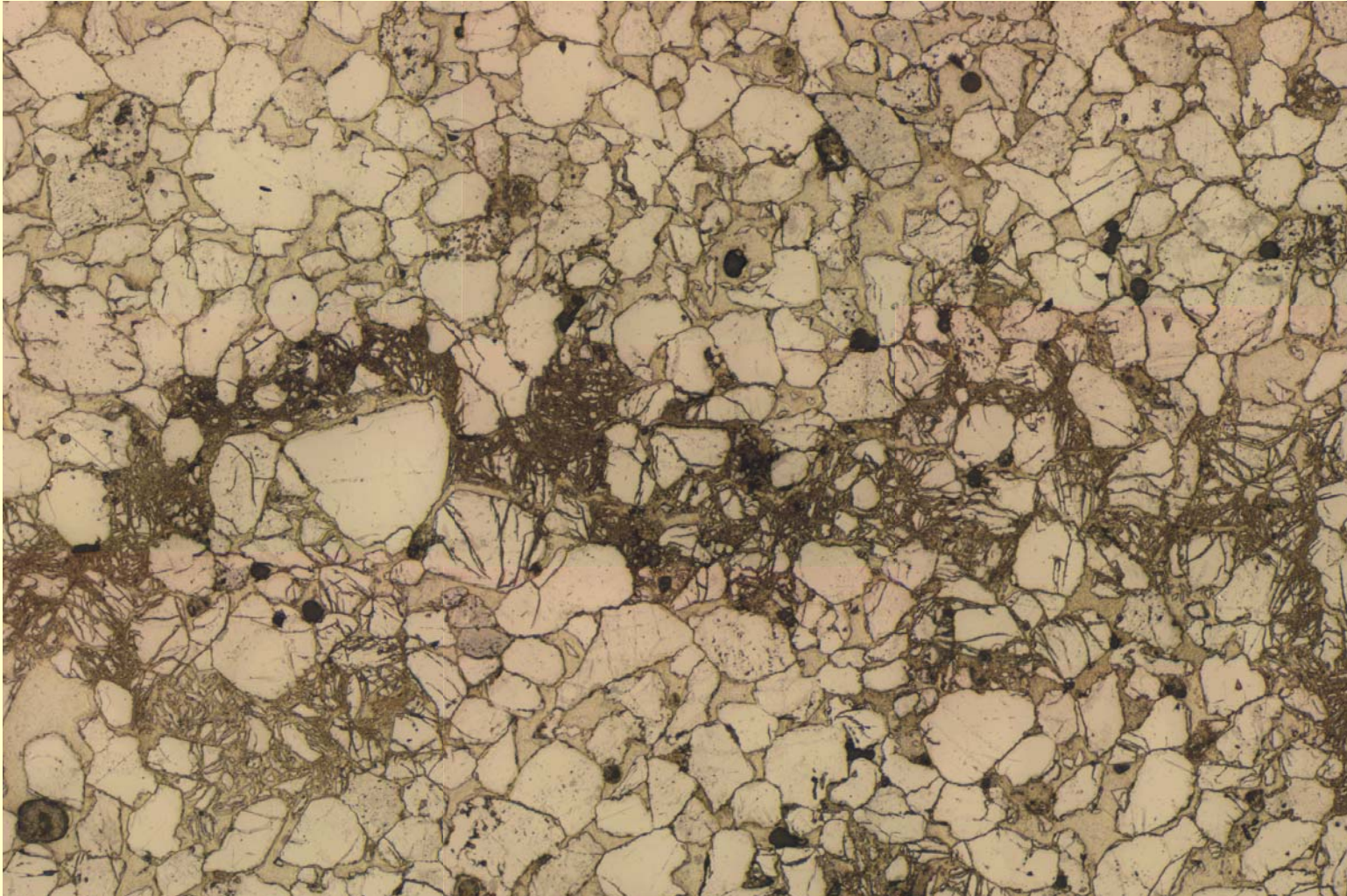
$$\varepsilon_{ax} = 4 \%$$



1 cm

$$\varepsilon_{ax} = 6 \%$$

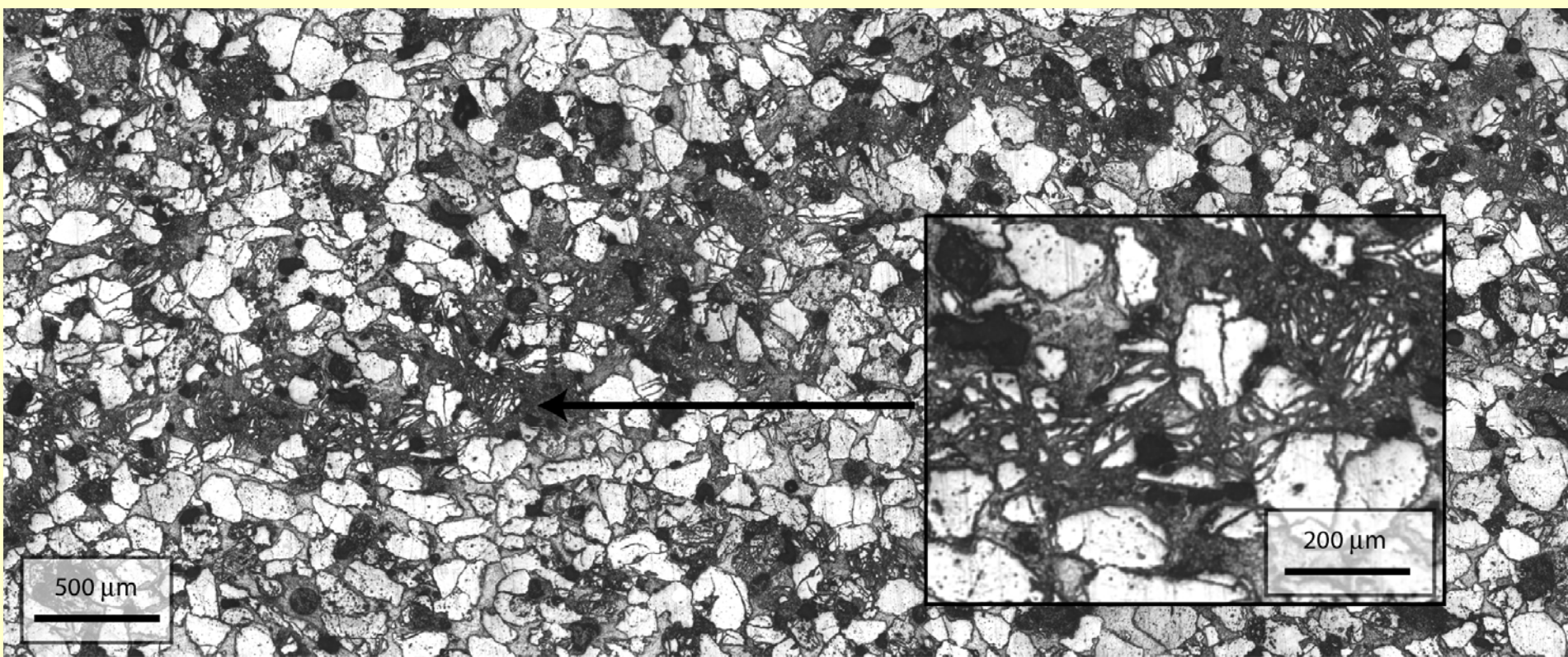
**A compaction band in the Bentheim sandstone: typically the lateral width extends over 2 grains or so (~ 600  $\mu\text{m}$ )**



**0.5 mm**

*Baud, Klein and Wong (2004)*

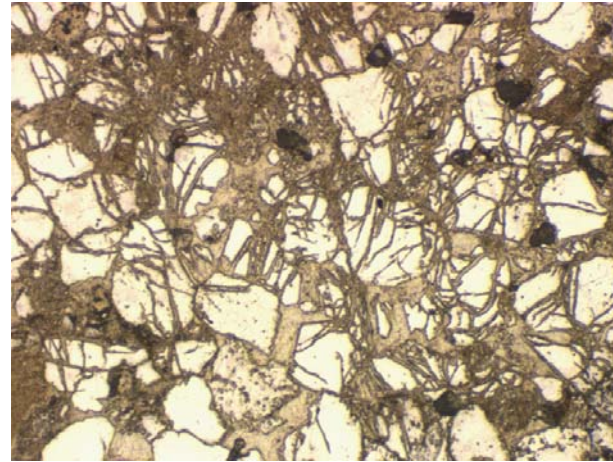
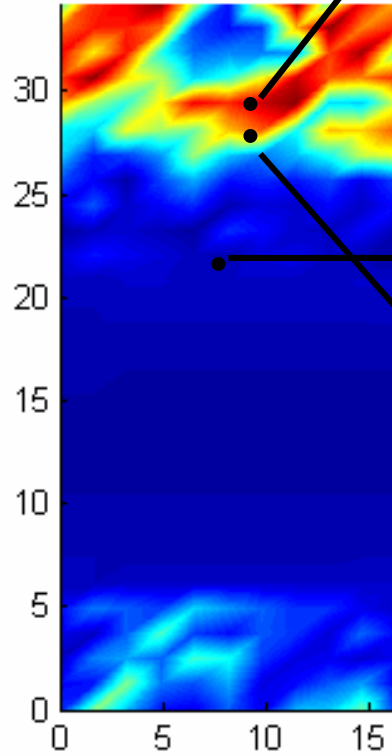
Tortuous compaction band in Diemelstadt sandstone ( $\phi = 25\%$ )



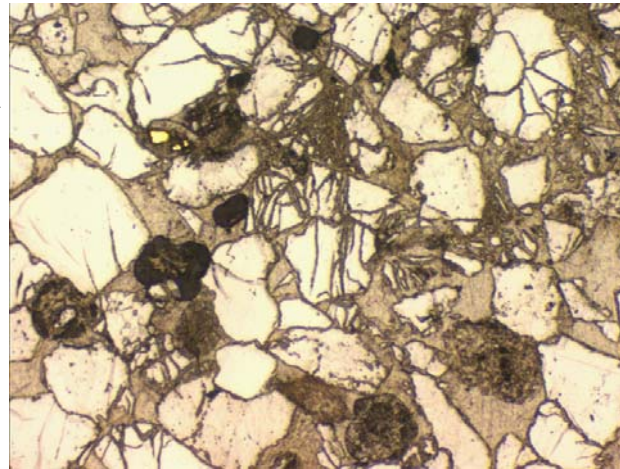
*Tembe et al. (in preparation)*

# Conjugate shear bands (Berea sandstone, $\phi = 21\%$ )

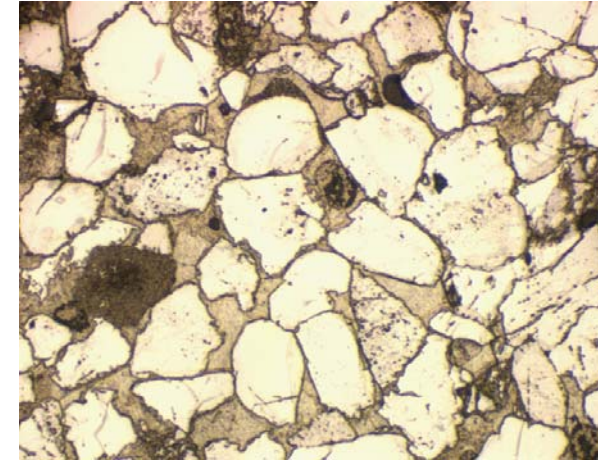
$P_{\text{eff}} = 90 \text{ MPa}$   
 $\epsilon_{\text{axial}} = 2.3 \%$



0.5 mm



0.5 mm



0.5 mm

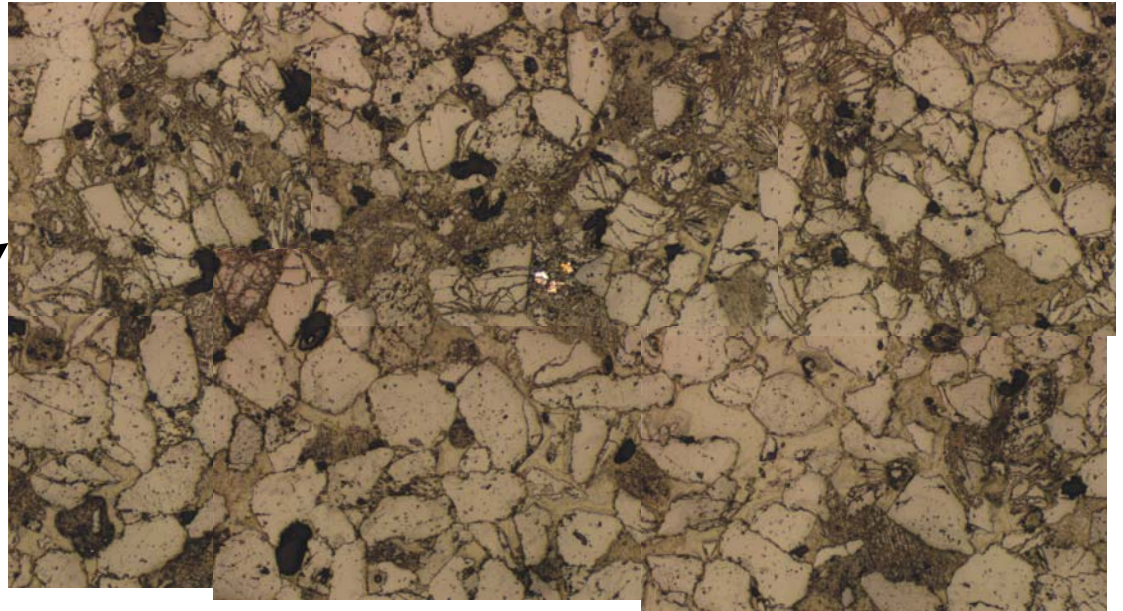
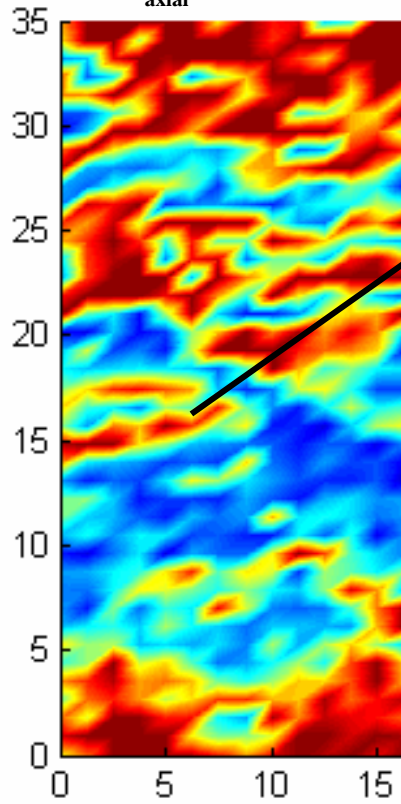


$S_v, \text{mm}^{-1}$

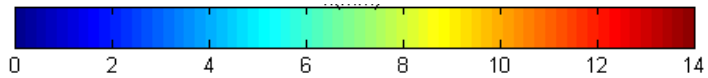
# Berea sandstone, $\phi = 21\%$

$P_{\text{eff}} = 200 \text{ MPa}$

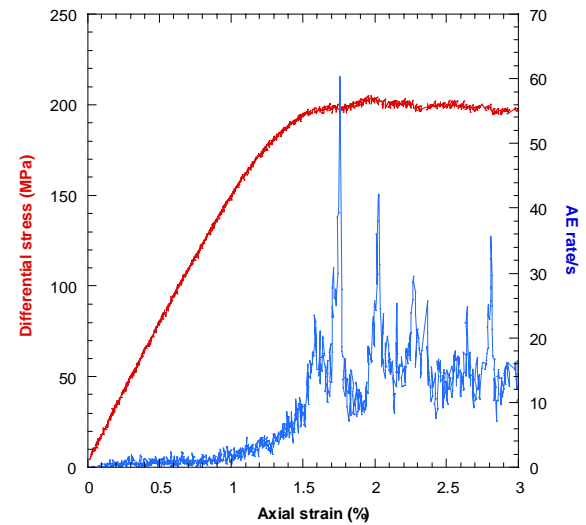
$\epsilon_{\text{axial}} = 3.2 \%$



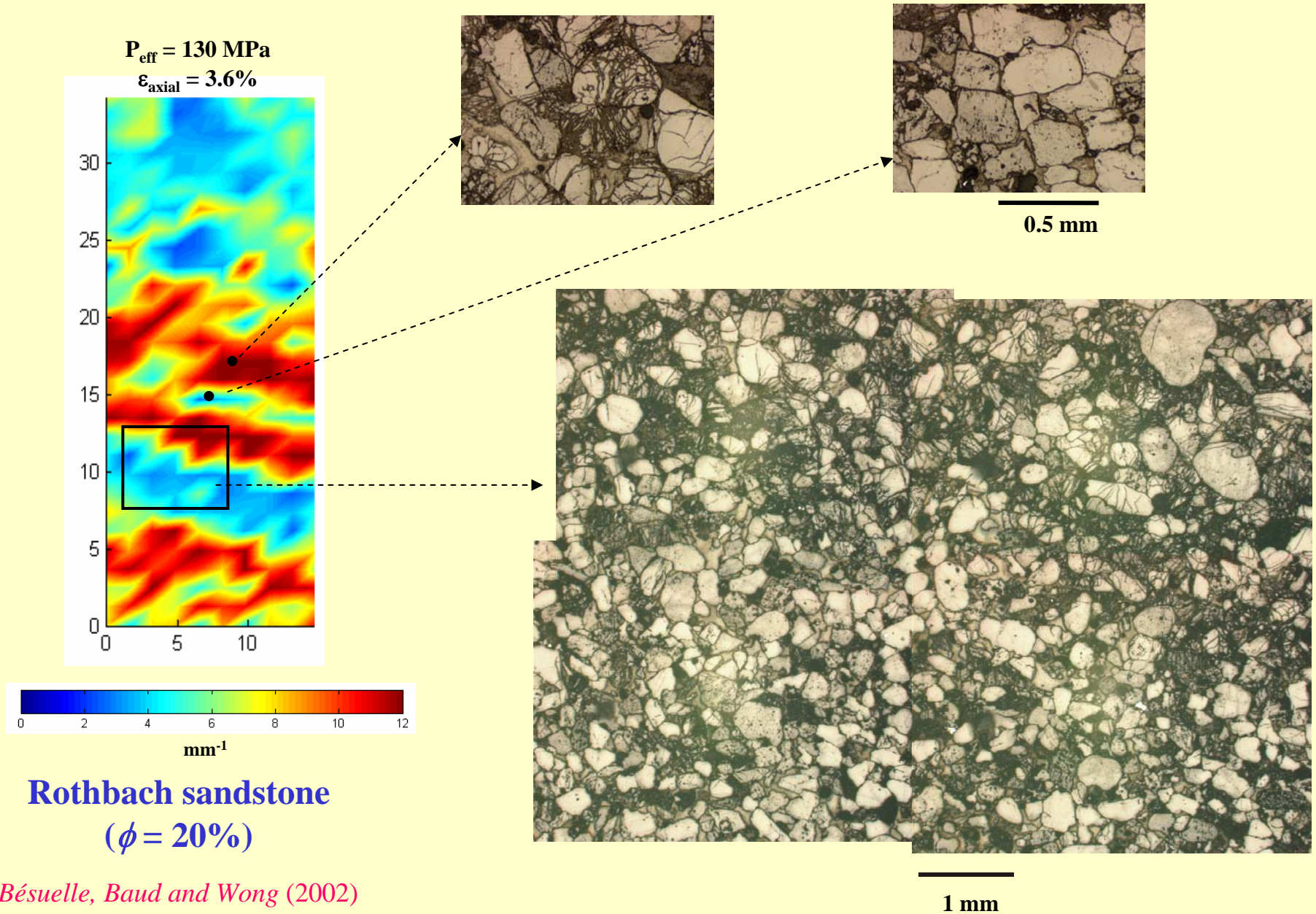
0.5 mm



$S_v, \text{ mm}^{-1}$



### 3. Diffuse compaction bands

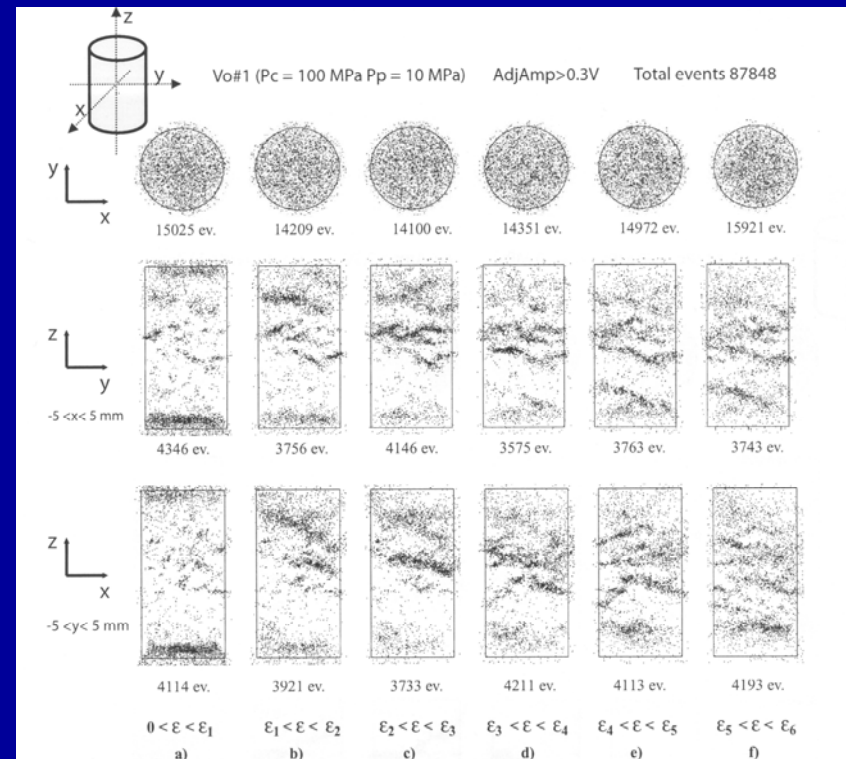
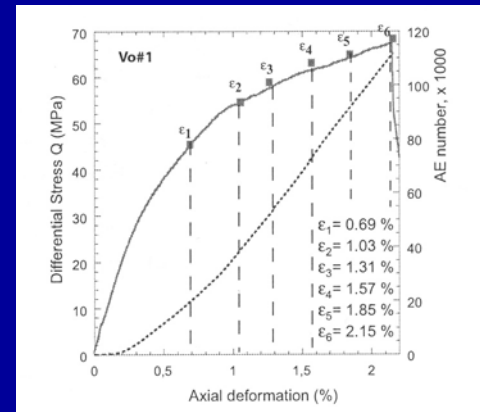
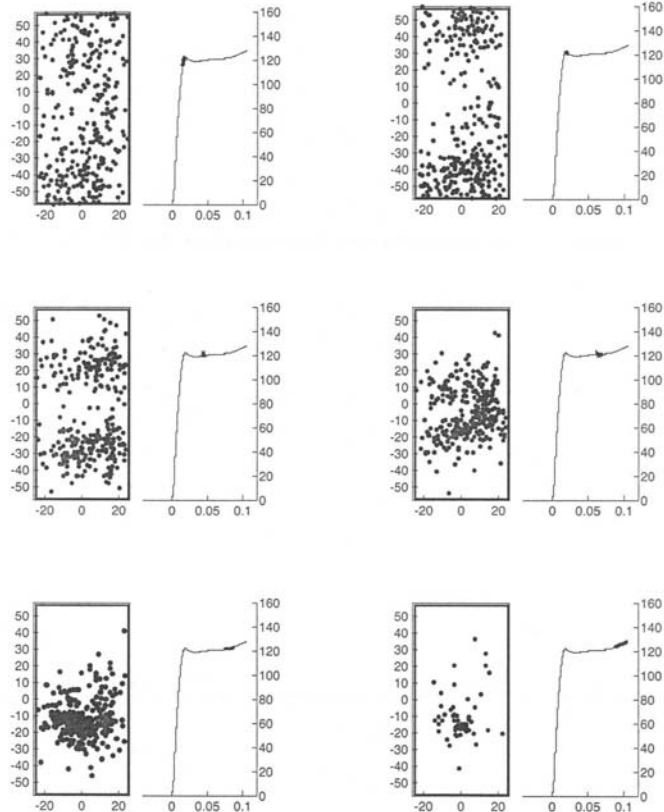




# ACOUSTIC EMISSION LOCATION

## Discrete compaction bands in Bleurwiller sandstone ( $\phi = 25\%$ )

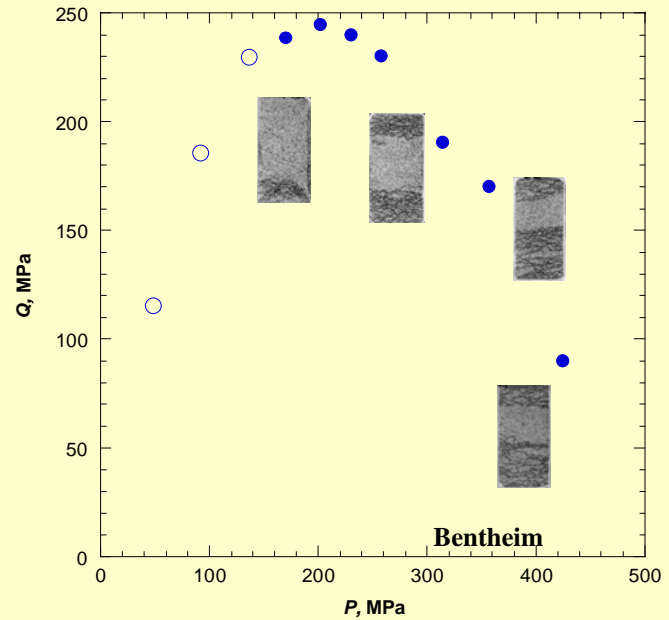
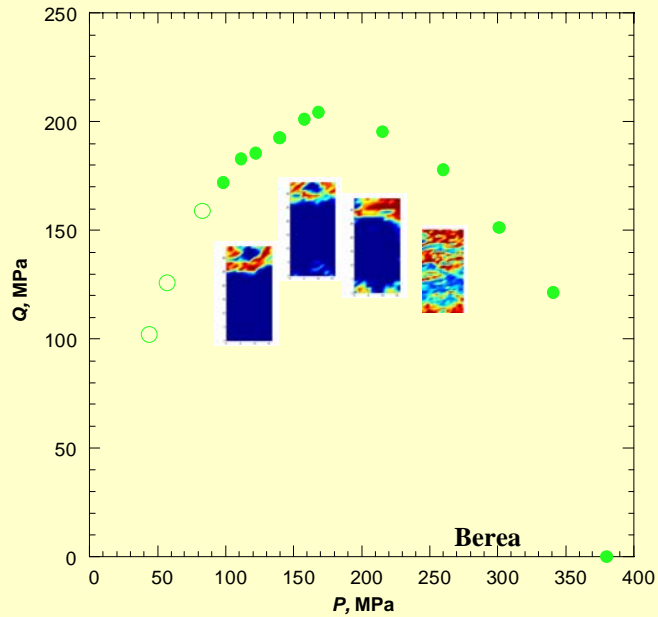
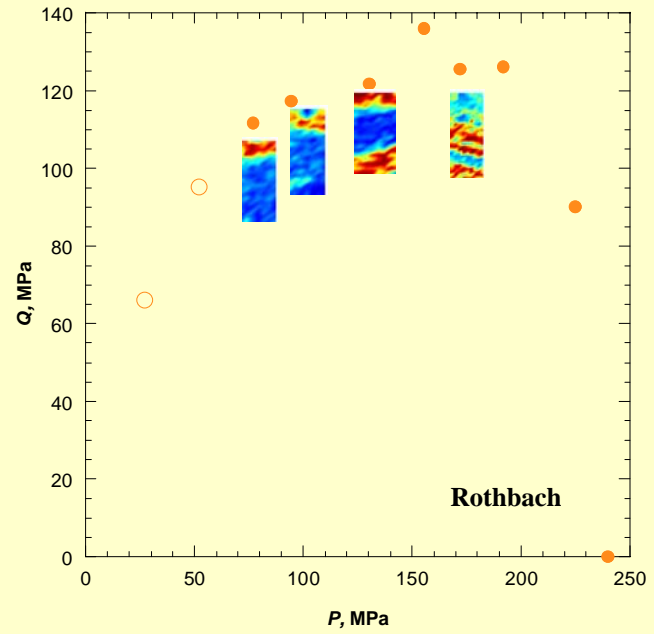
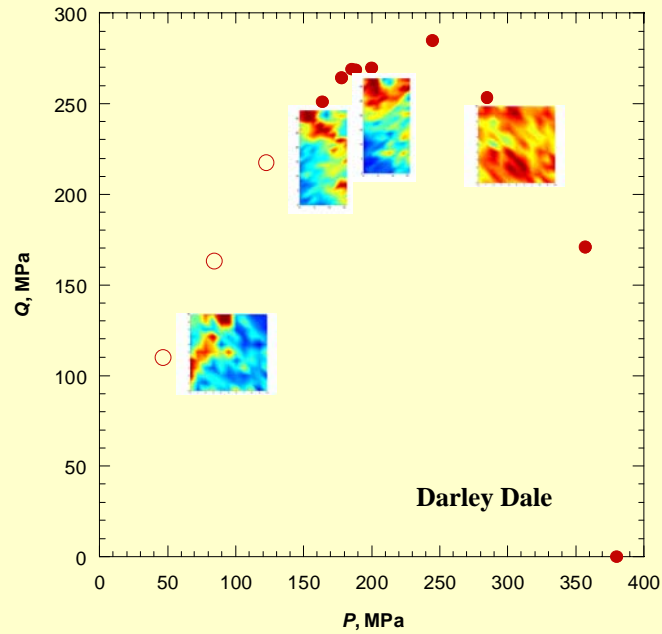
## Diffuse compaction bands in Castlegate sandstone ( $\phi = 28\%$ )



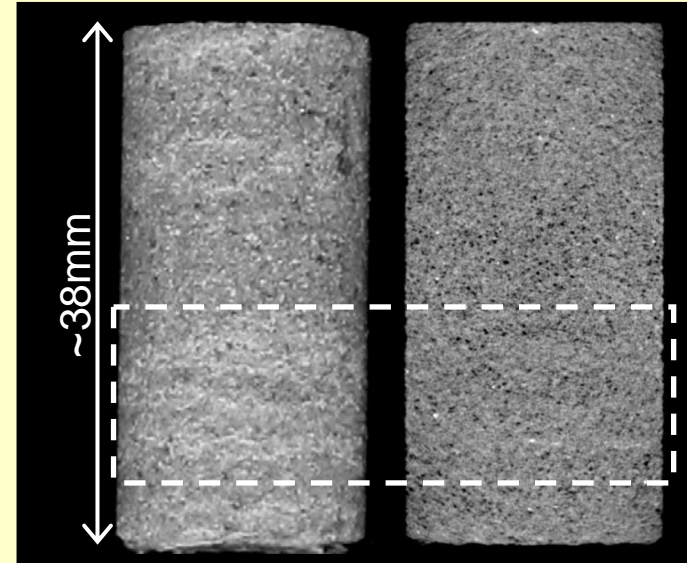
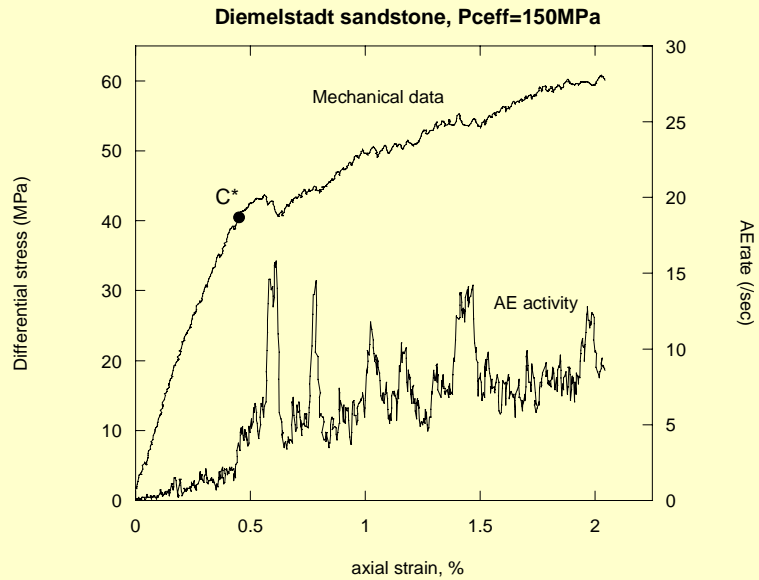
*Olsson and Holcomb (2000)*

*Fortin et al. (submitted)*

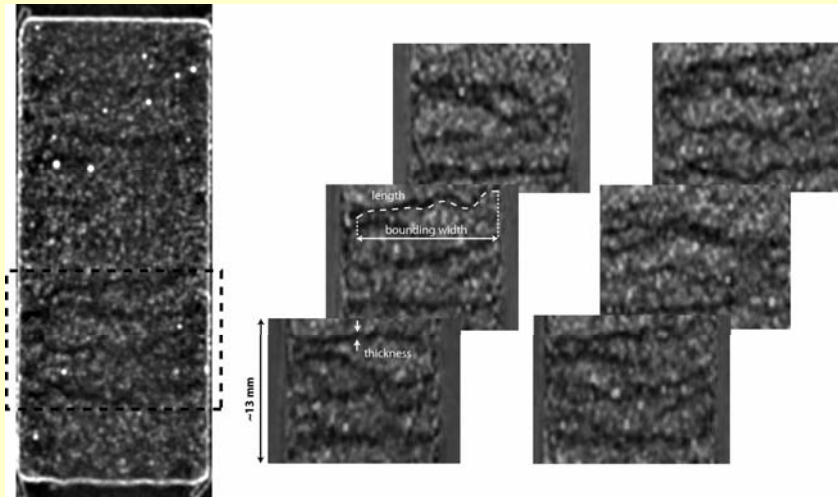
# Summary of failure modes



# Compaction



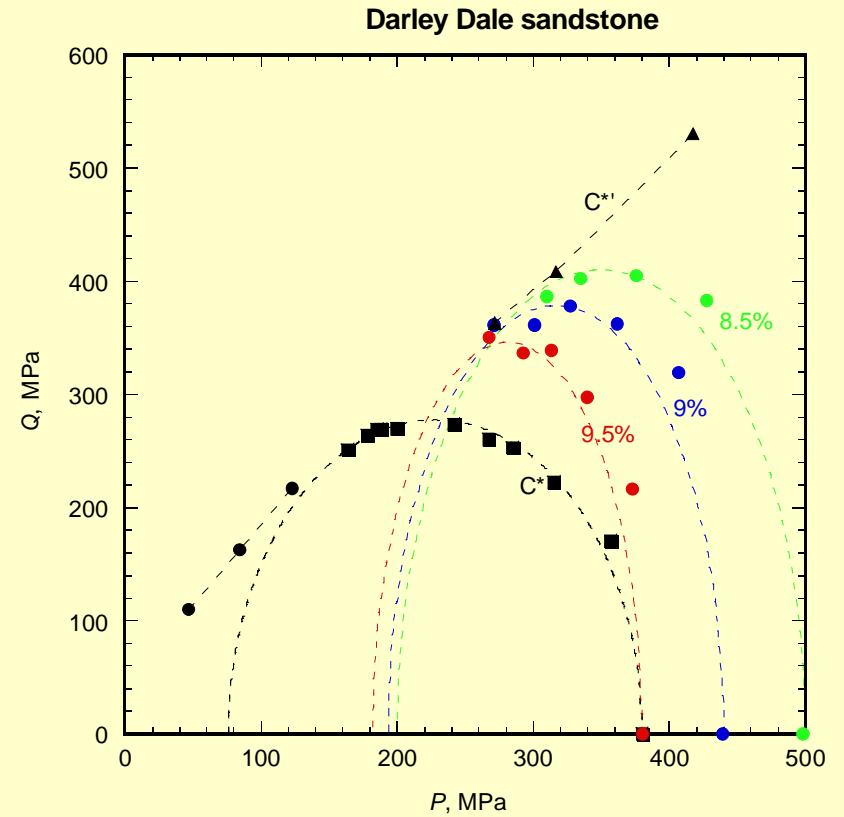
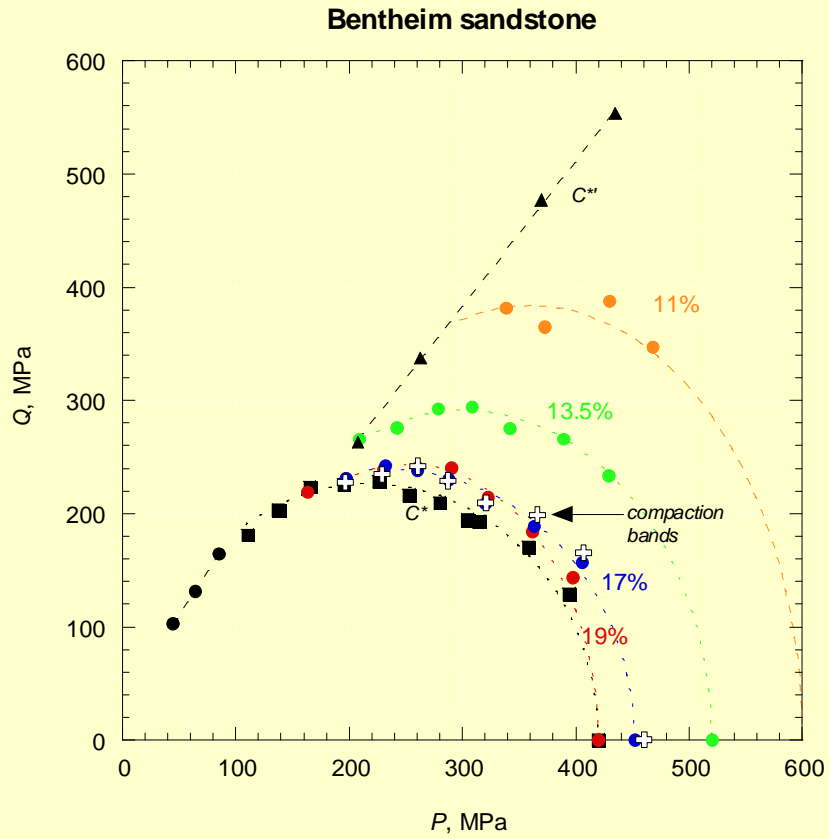
## X-ray CT imaging



→ **compaction bands:  $\Delta\phi \sim 15\%$**

*Wong, Louis, and Baud (submitted)*

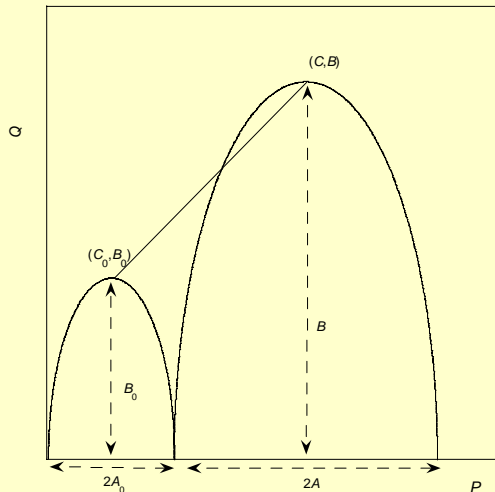
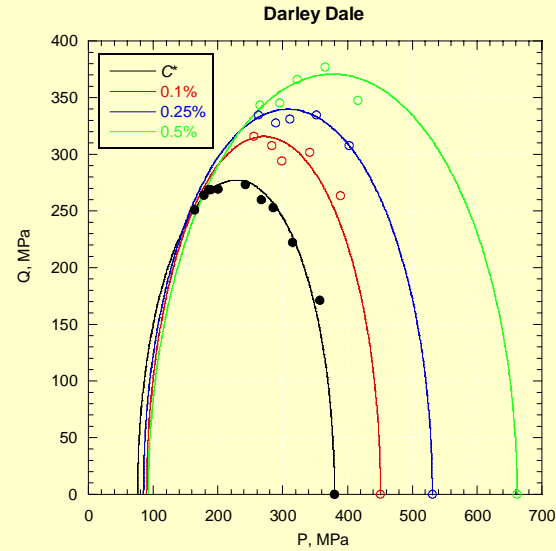
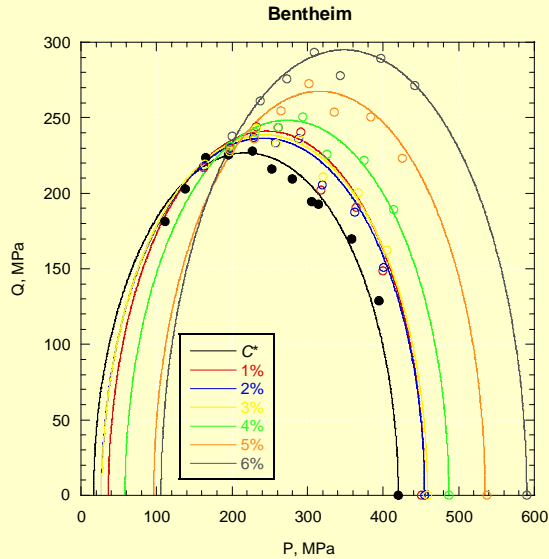
# Post-yielding porosity reduction: compaction bands versus homogeneous cataclastic flow



*Baud, Vajdova, and Wong (submitted)*

# Plastic volumetric strain: elliptical contours

$$\frac{(P - C)^2}{A^2} + \frac{Q^2}{B^2} = 1$$



- compaction bands:

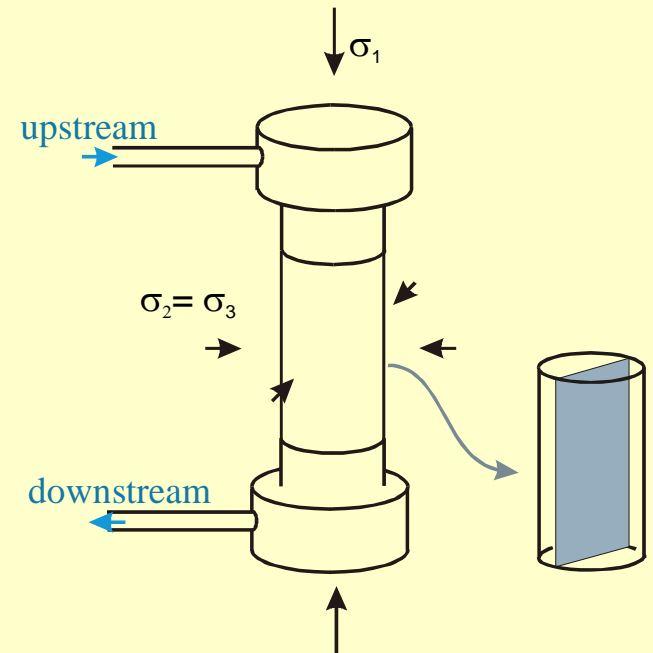
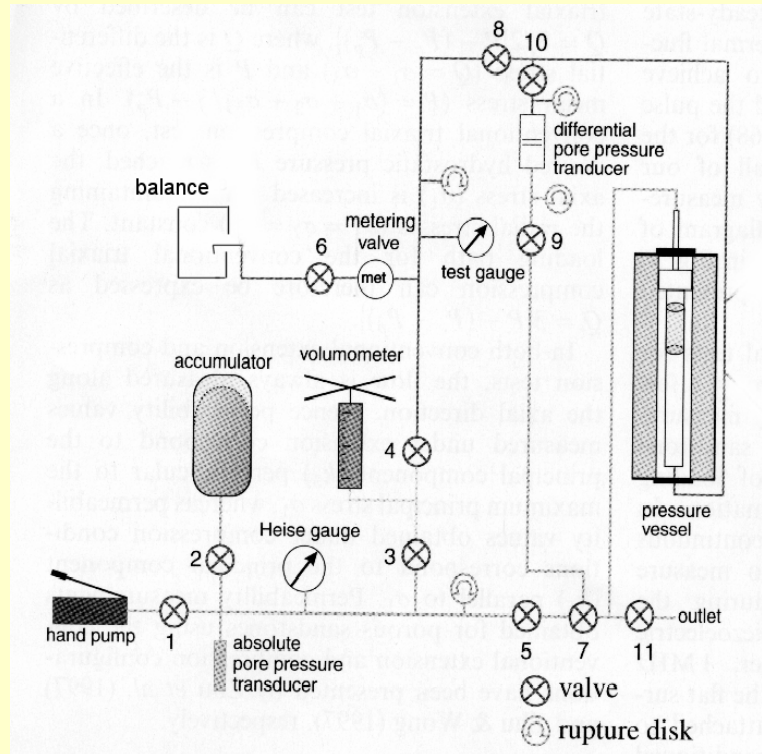
$$B/A \sim \text{cte}$$

- homogeneous cataclastic flow:

$$B/A \downarrow \text{ with plastic strain}$$

## IV. Influence on fluid flow

Experimental set-up (*Zhu and Wong, 1997*)

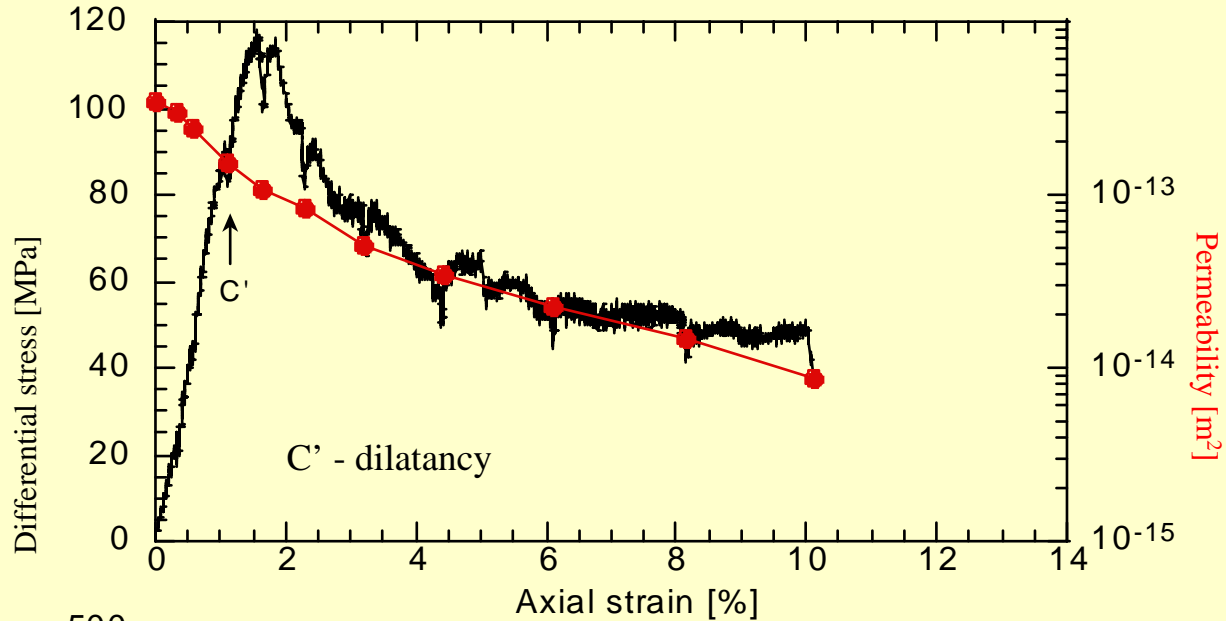


- conventional triaxial experiment with stops for permeability measurements
- water flow in direction of  $\sigma_1$ , steady-state flow technique
- microstructural observations on failed samples performed on thin section

# Permeability evolution in Bentheim sandstone ( $\phi = 23\%$ )

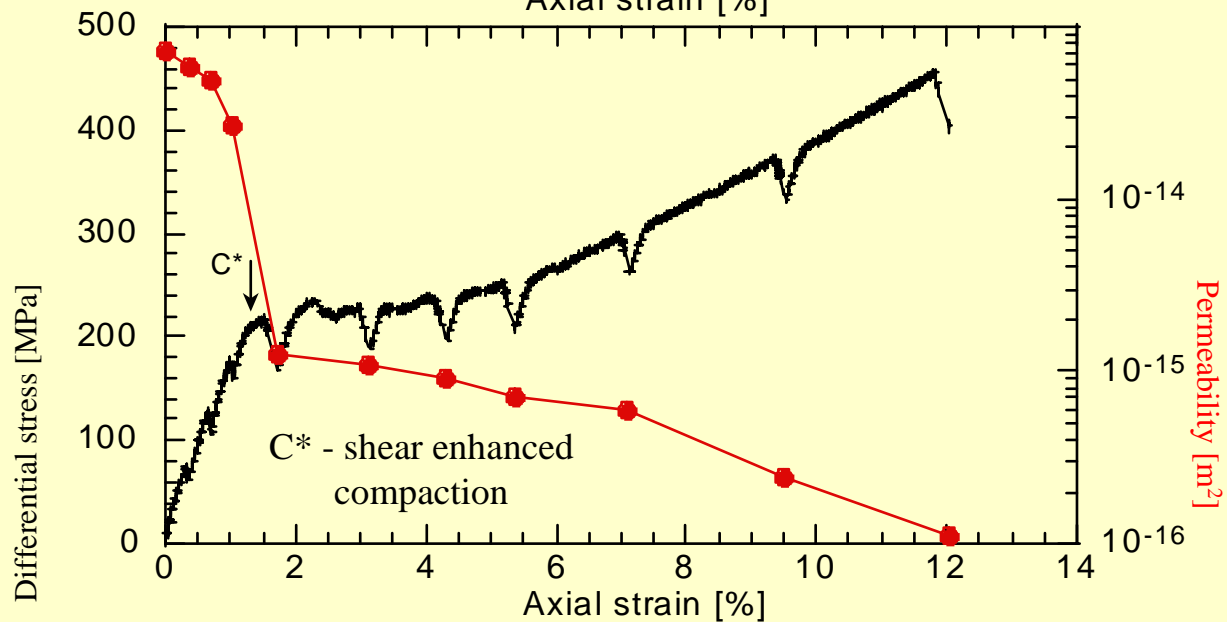
shear  
localization

$P_{\text{eff}} = 10 \text{ MPa}$



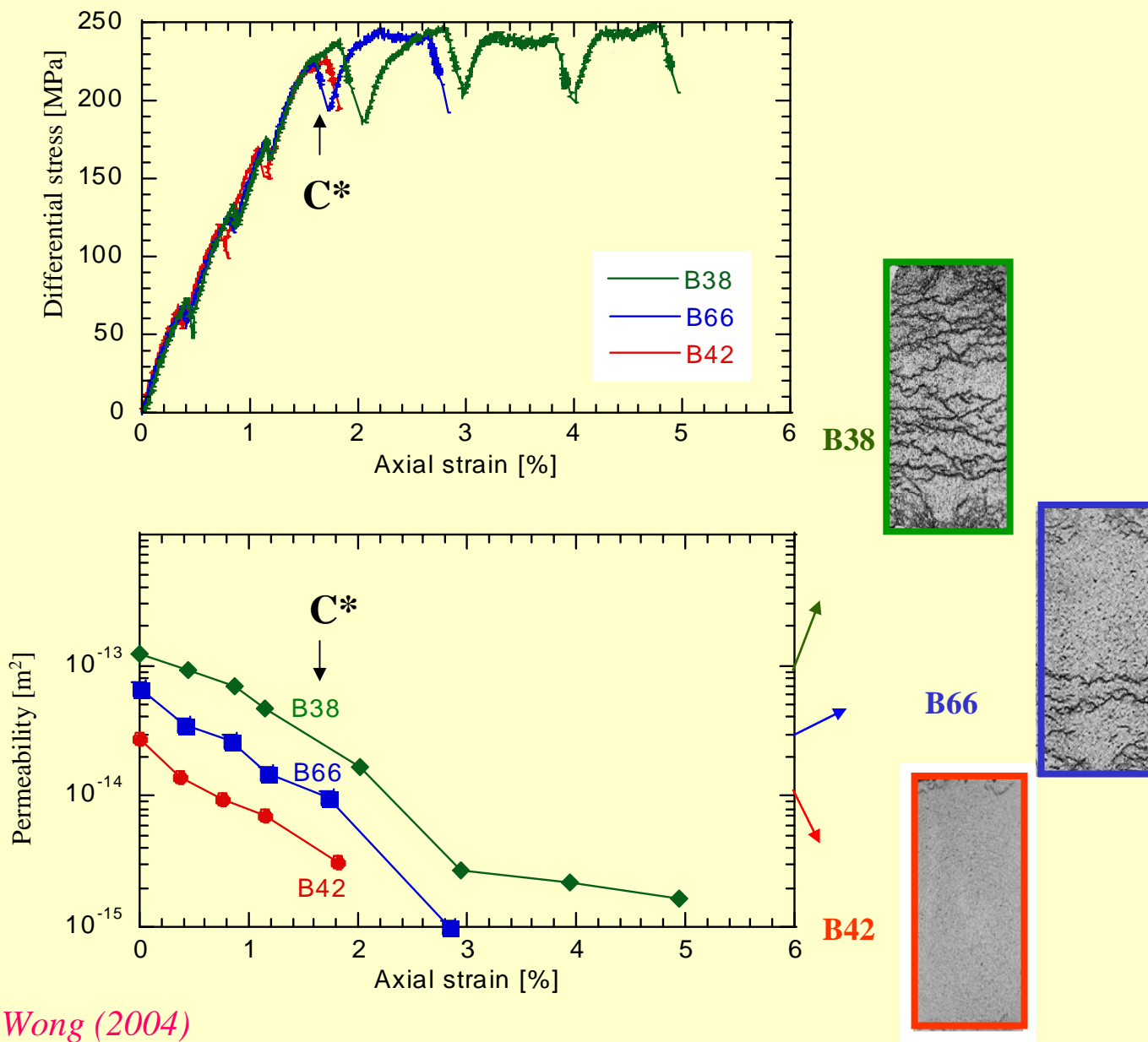
compaction  
localization

$P_{\text{eff}} = 300 \text{ MPa}$



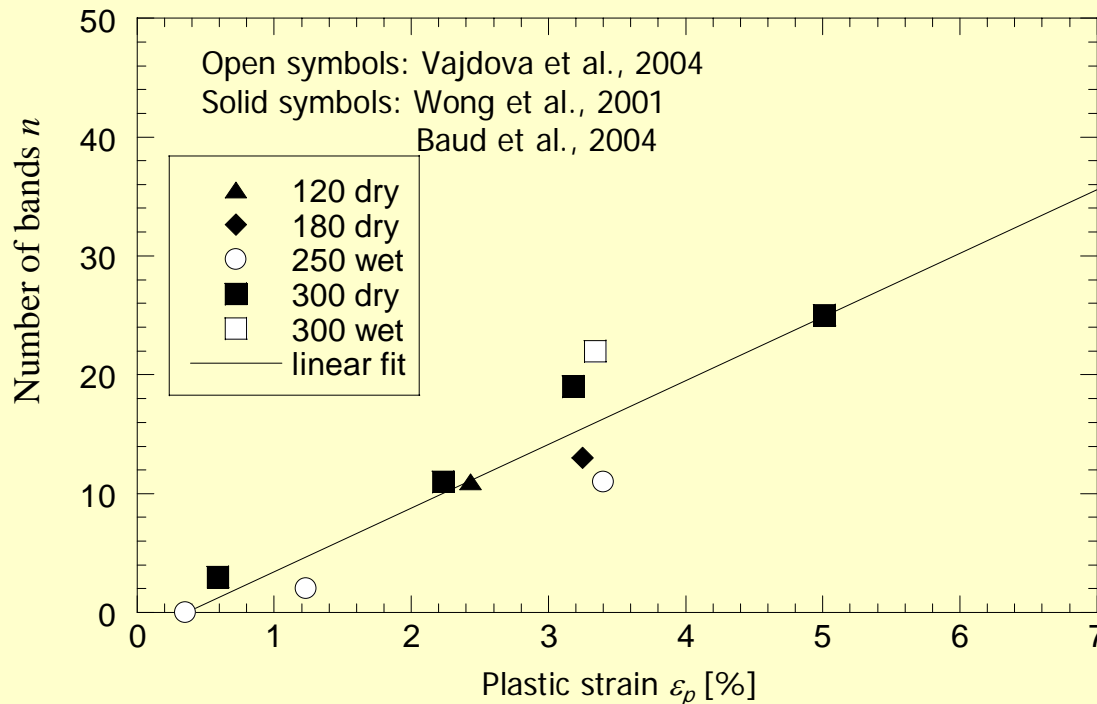
# Coupling of compaction band formation and permeability reduction

$P_{\text{eff}} = 250 \text{ MPa}$





# Number of compaction bands as a function of plastic strain



$$\varepsilon_p = \varepsilon_0 + \beta \cdot n$$

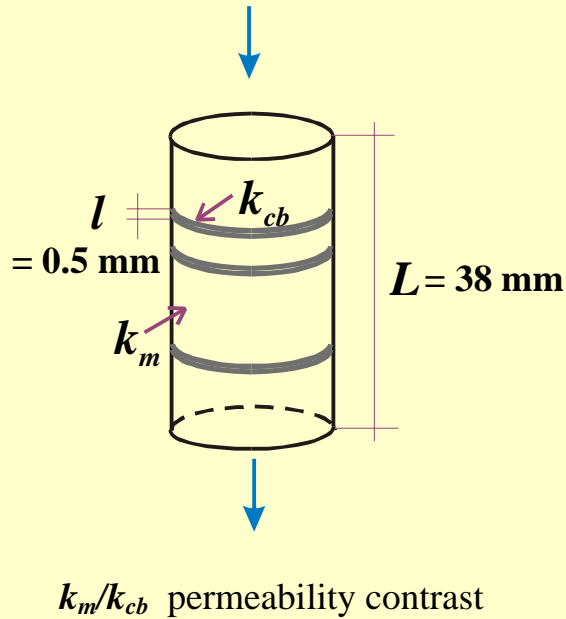
$$\varepsilon_0 = 0.00336$$

$$\beta = 0.00185$$

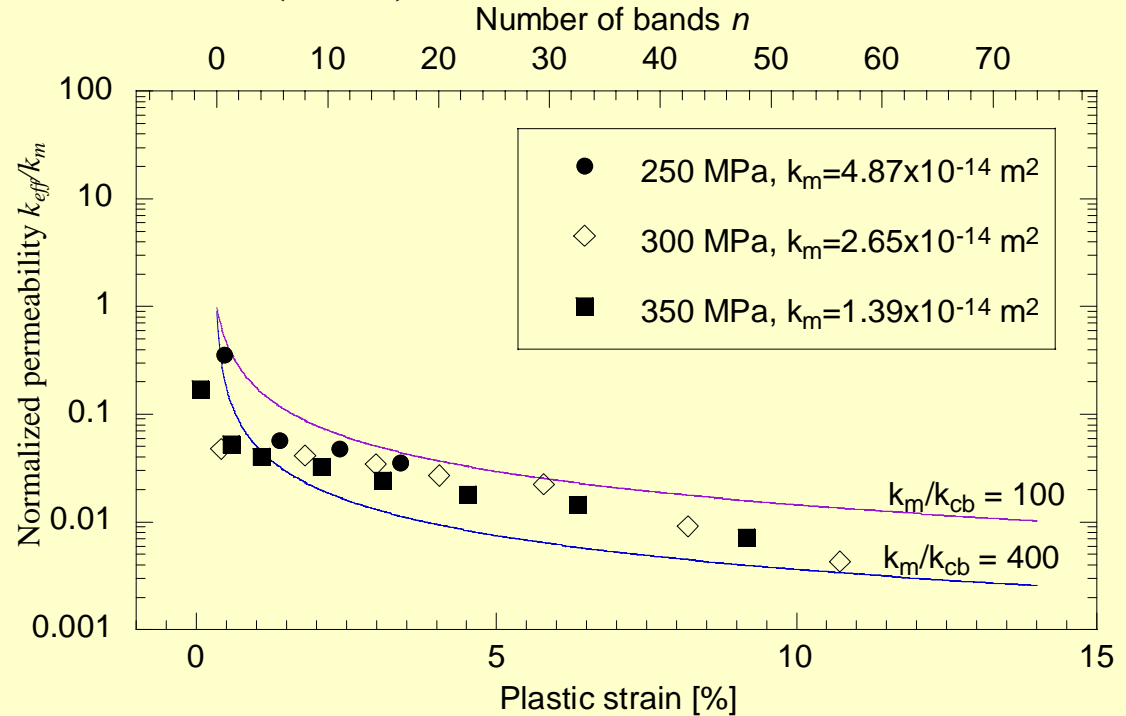
## Implications:

- compaction band formation is initiated only when plastic strain of  $\varepsilon_0 = 0.336\%$  is achieved after the onset of shear-enhanced compaction  $C^*$
- development of each compaction band is associated with nominal plastic strain of  $\beta = 0.185\%$

# Permeability of a layered medium: a model for permeability evolution during compaction band formation



$$k_{eff} = \frac{k_m}{\left(\frac{nl}{L}\right)\left(\frac{k_m}{k_{cb}} - 1\right) + 1}$$



## Implications:

- the permeability contrast between  $k_m$  and  $k_{cb}$  is about 2 orders of magnitude
- permeability drop occurs initially with formation of a few discrete compaction bands and further reduction is more gradual

# Extrapolation of permeability evolution to other sandstones?

$$k_{eff} = \frac{k_m}{\left(\frac{nl}{L}\right)\left(\frac{k_m}{k_{cb}} - 1\right) + 1} = \frac{k_m}{\left(\frac{\epsilon_p - \epsilon_0}{\beta'}\right)\left(\frac{k_m}{k_{cb}} - 1\right) + 1}$$

$$\epsilon_p = \epsilon_0 + \beta \cdot n$$

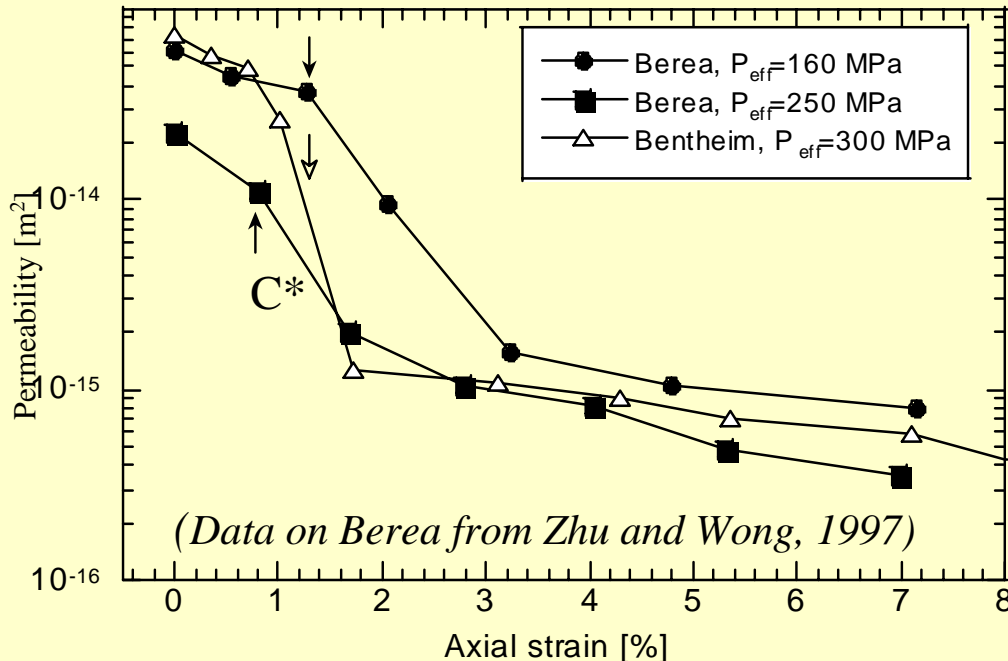
$$\beta = \Delta l / L$$

$$\beta' = \Delta l / l$$

... nominal plastic strain associated with single band collapse

... localized plastic strain associated with single band collapse - serves here as material parameter

According to the model, permeability evolution with strain is dependent on  $\gamma = (k_m/k_{cb} - 1)/\beta'$ .



## Comparison of Berea and Bentheim sandstones

Data indicate:

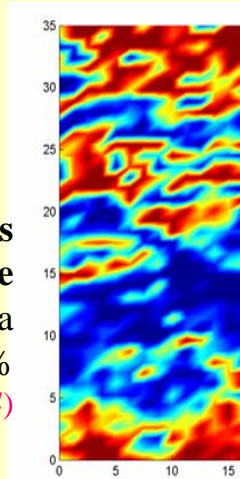
- smaller  $\gamma$  for Berea
- larger  $\gamma$  for Bentheim

Diffuse compaction bands  
in Berea sandstone

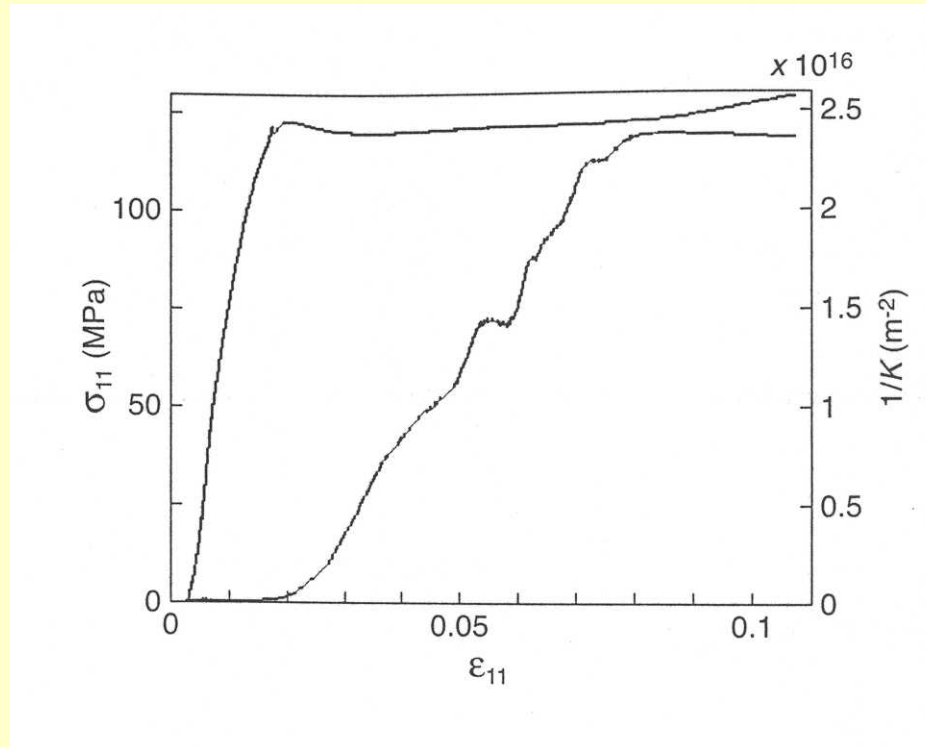
$$P_{eff} = 200 \text{ MPa}$$

$$\epsilon_{axial} = 3.5\%$$

(Baud et al., 2004)



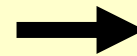
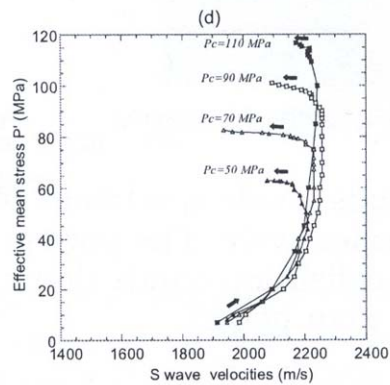
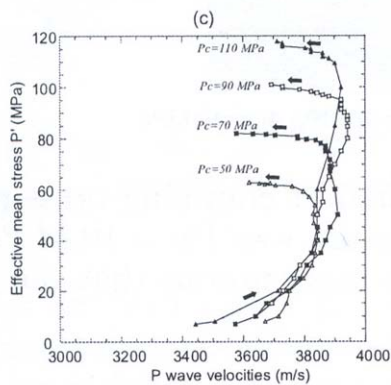
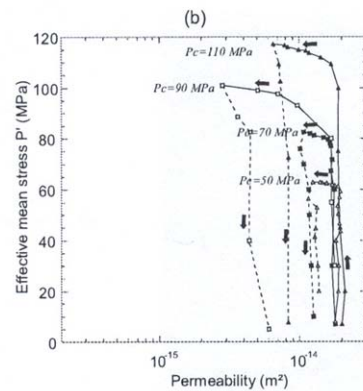
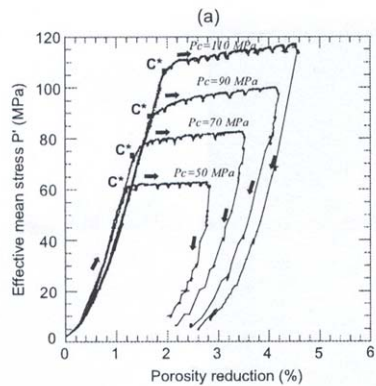
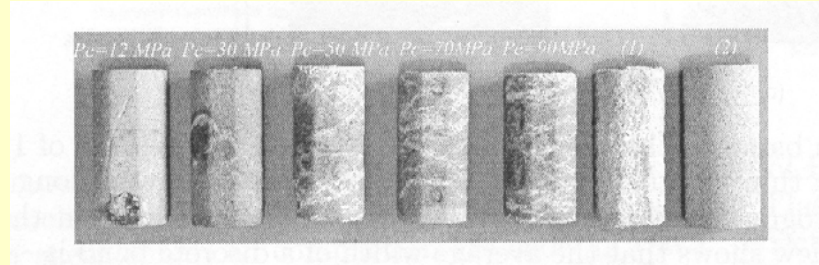
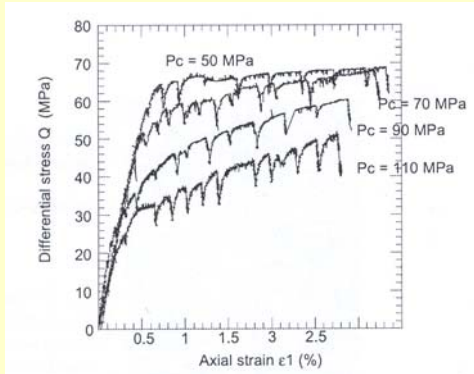
## Permeability evolution in Castlegate ( $\phi = 28\%$ )



$1/k$  is a linear function axial strain.

*Holcomb and Olsson (2003)*

# Elastic waves velocities and compaction localization



$$\frac{k_m}{k_{cb}} \sim \frac{\phi}{\zeta} \in [6 - 600]$$



Inelastic compaction:  
large increase in crack density  
 $V_p$  and  $V_s$  decrease

# V. Models

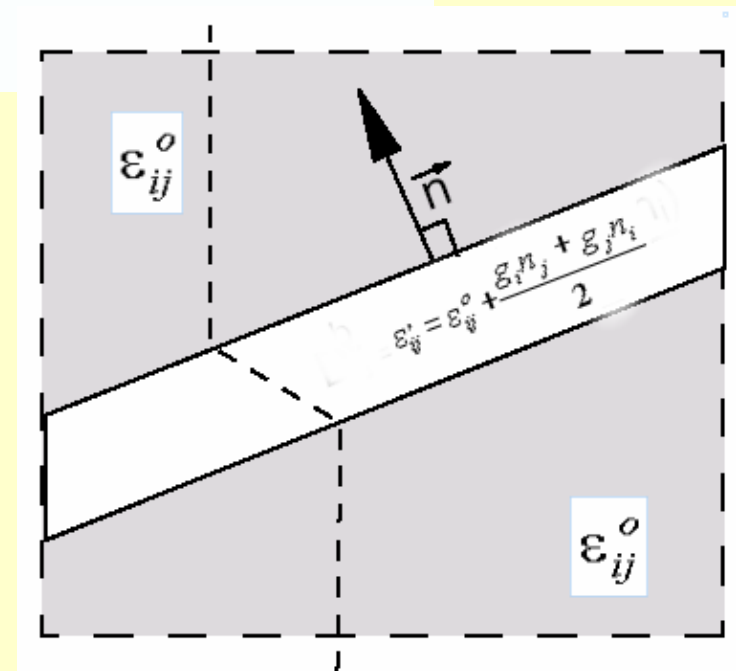
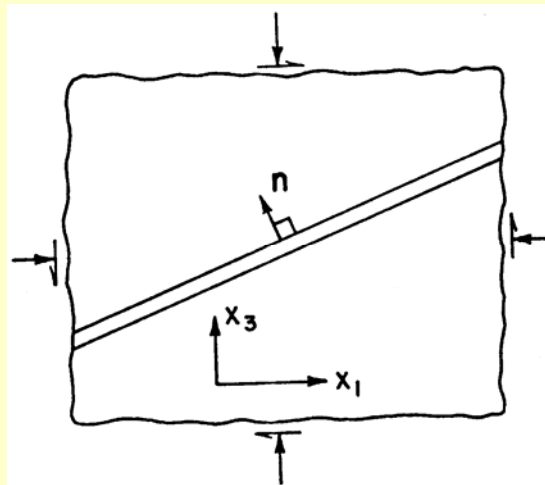
## BIFURCATION ANALYSIS OF STRAIN LOCALIZATION

- The inception of a localized zone of deformation is viewed as a *bifurcation* from homogenous deformation due to a *constitutive instability* (Rudnicki and Rice, 1975). If  $g_k$  is a function of distance across the band, localized deformation in the form of a planar band with normal  $n$ , is possible if a non-trivial solution exists to the *eigenvalue* problem:

$$\{n_i L_{ijkl} n_j\} g_k = 0$$

- **Bifurcation Condition:** A *nontrivial* solution for the  $g_k$  is possible only when the following *determinant* vanishes

$$\det \{n_i L_{ijkl} n_j\} = 0$$



# Bifurcation analysis of failure modes (for axisymmetric compression)

*Rudnicki and Rice's constitutive parameters*

$\beta$  dilatancy factor ( $\beta < 0 \Rightarrow$  compaction)

$\mu$  friction coefficient ( $\mu < 0 \Rightarrow$  negative pressure dependence)

$$\beta = -\sqrt{3} \frac{\Delta\phi^p / \Delta\varepsilon^p}{(3 - \Delta\phi^p / \Delta\varepsilon^p)}$$

$$\mu = -\frac{B^2(P - C)}{\sqrt{3}A^2Q}$$

## Conditions for localization

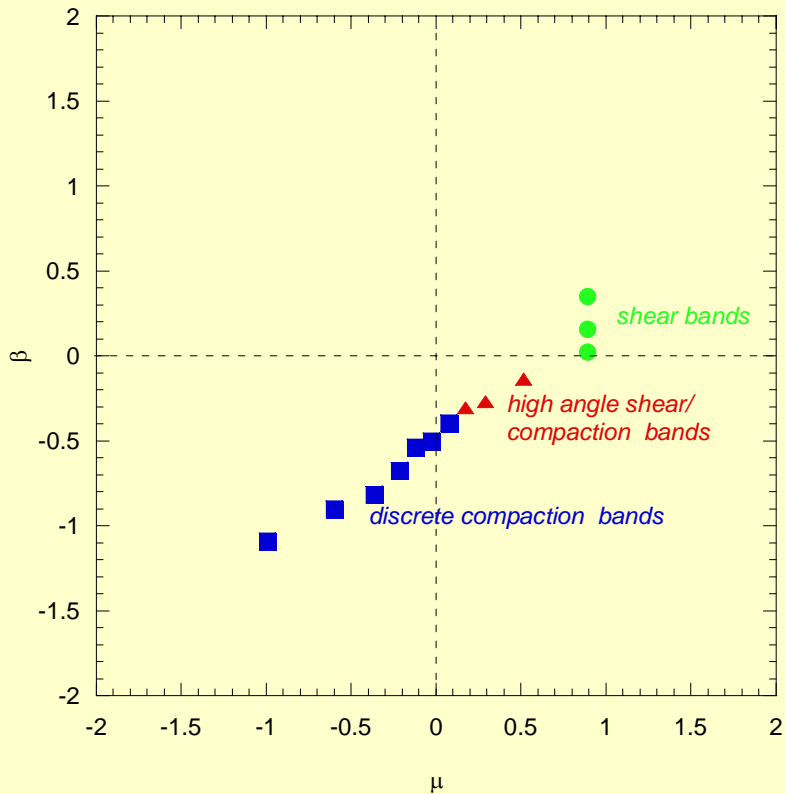
☞ *shear band* (Rudnicki and Rice, 1975; Perrin and Leblond, 1993)

$$-\sqrt{3} \leq \beta + \mu \leq \sqrt{3} (2-\nu) / (1+\nu)$$

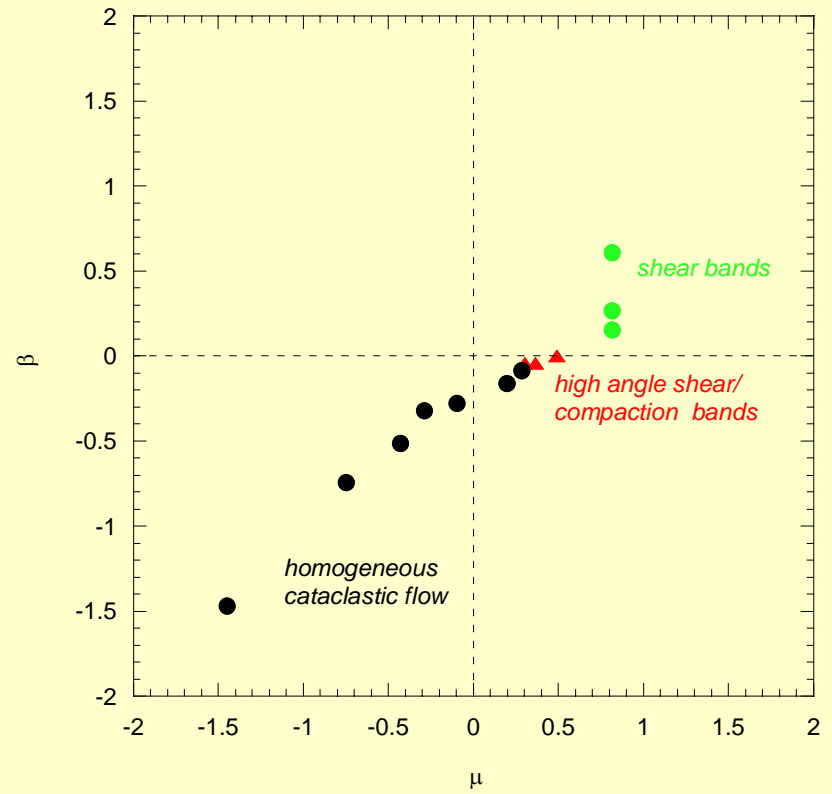
☞ *compaction band* (Olsson, 2000; Issen and Rudnicki, 2000)

$$\beta + \mu = -\sqrt{3}$$

Bentheim



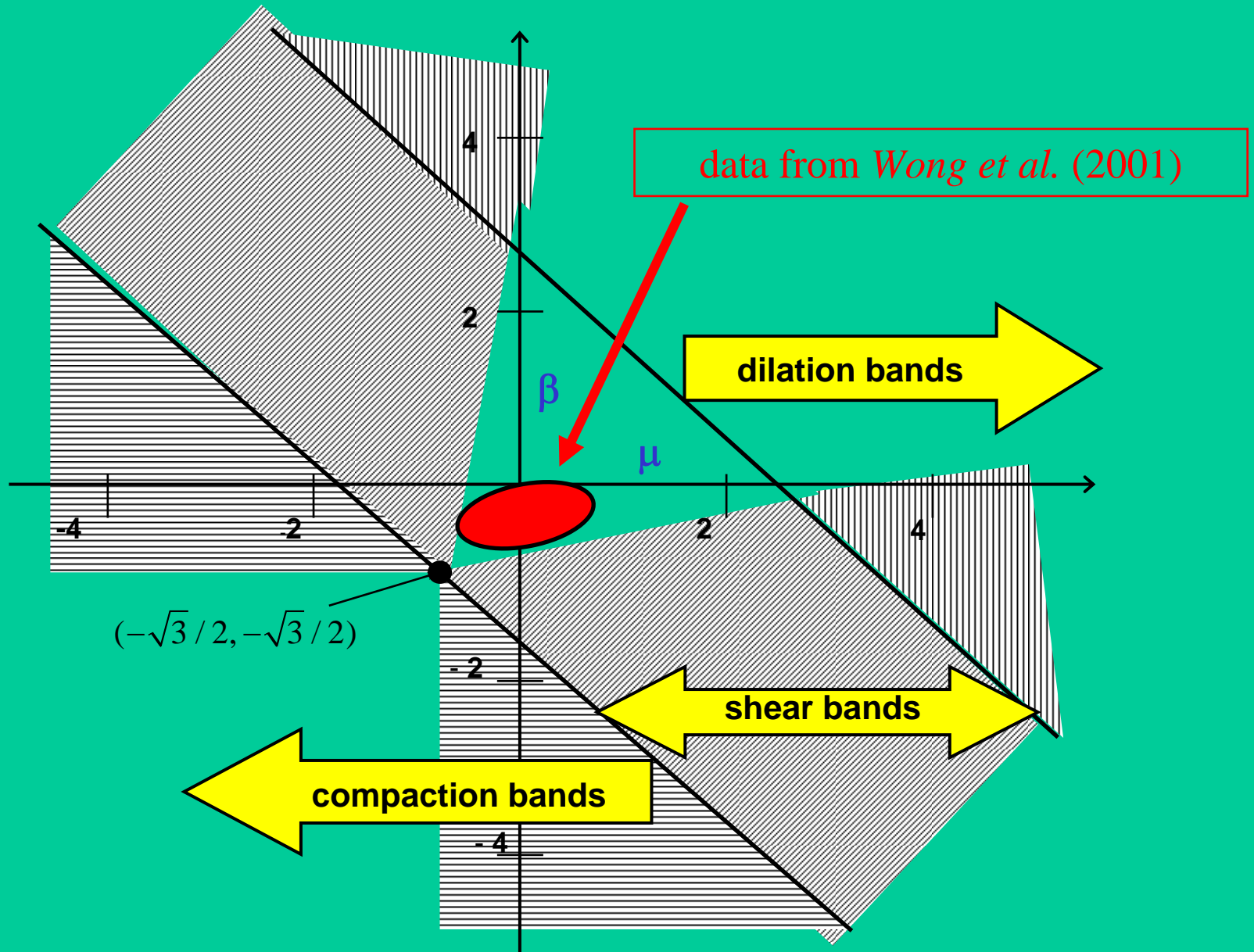
Darley Dale



As a porous sandstone undergoes the brittle-ductile transition the failure mode evolves from shear band to compaction band to distributed cataclastic flow as the constitutive parameters  $\beta$  and  $\mu$  decrease with increasing effective pressure.

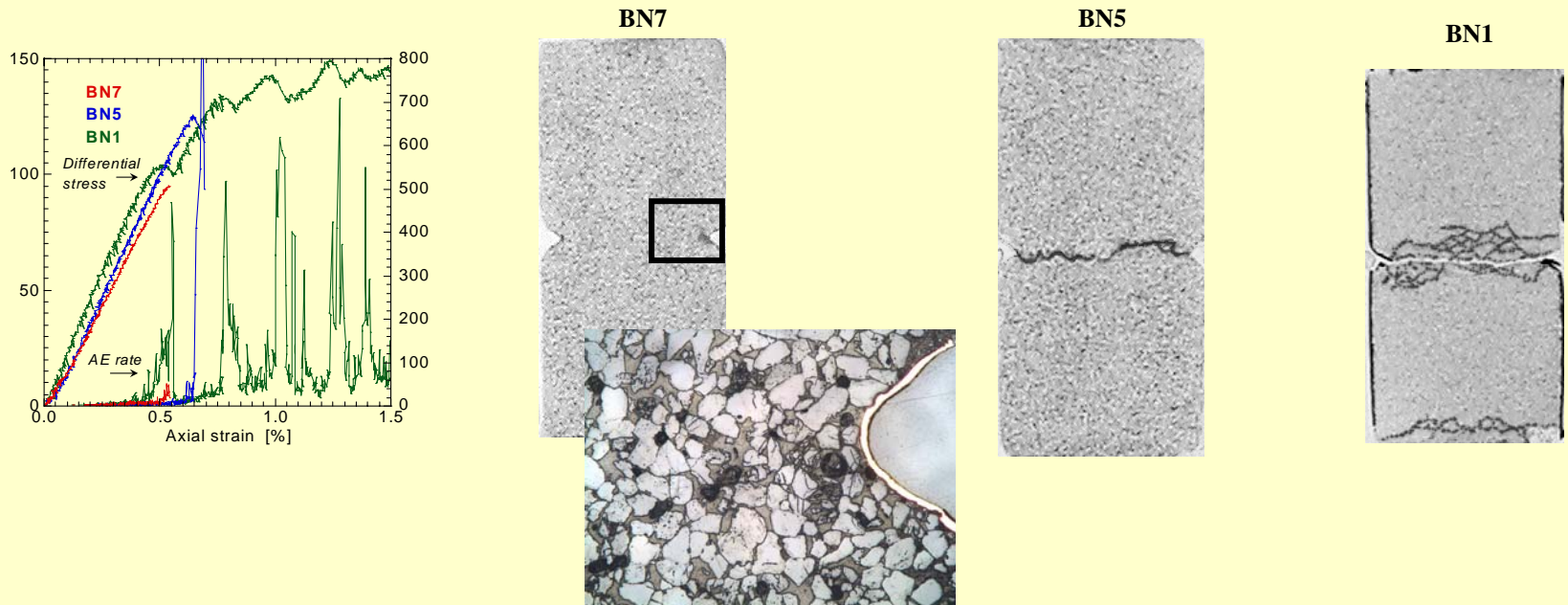


# Failure mode map from *Issen et Rudnicki (2000)*



## Poor agreement between theoretical predictions and laboratory data:

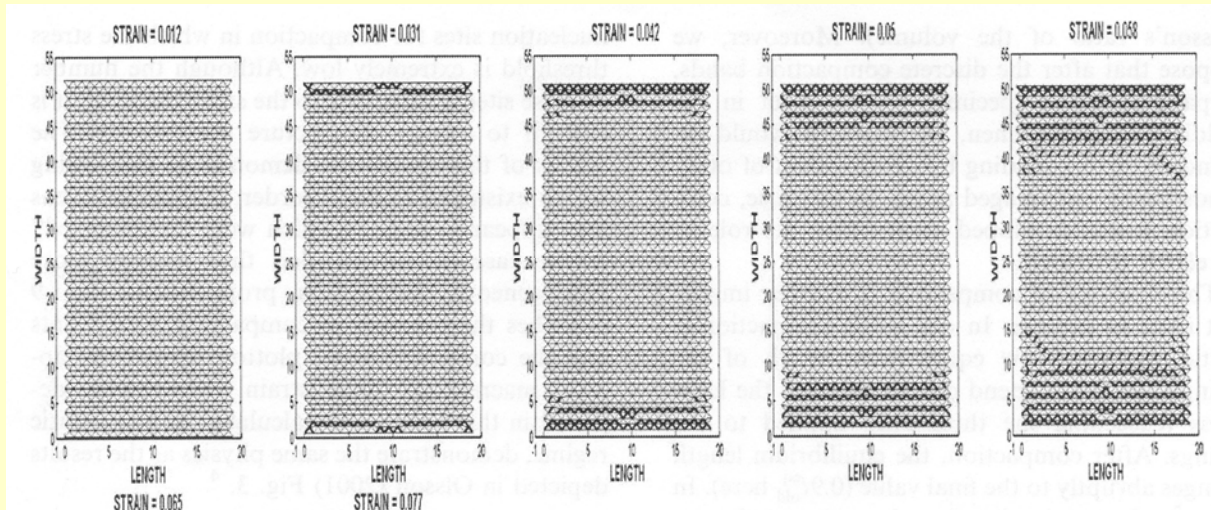
- theory only applies to onset of localization:
  - AE location (*Fortin et al., 2005*)
- theoretical predictions for transverse isotropic material (*Rudnicki, 2004*)
  - experiments using *exotic* stress paths (*Baud et al., in preparation*)
- heterogeneity of the stress field in the samples:
  - study on notched samples (*Vajdova and Wong, 2005; Tembe et al., in press*)



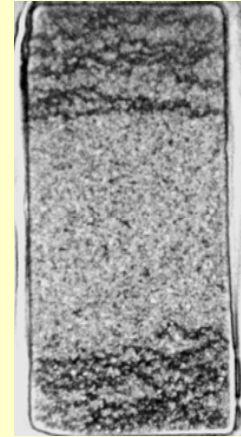
# NETWORK MODELS:

hexagonal lattice of springs (*Katsman, Aharonov and Scher, 2005*)

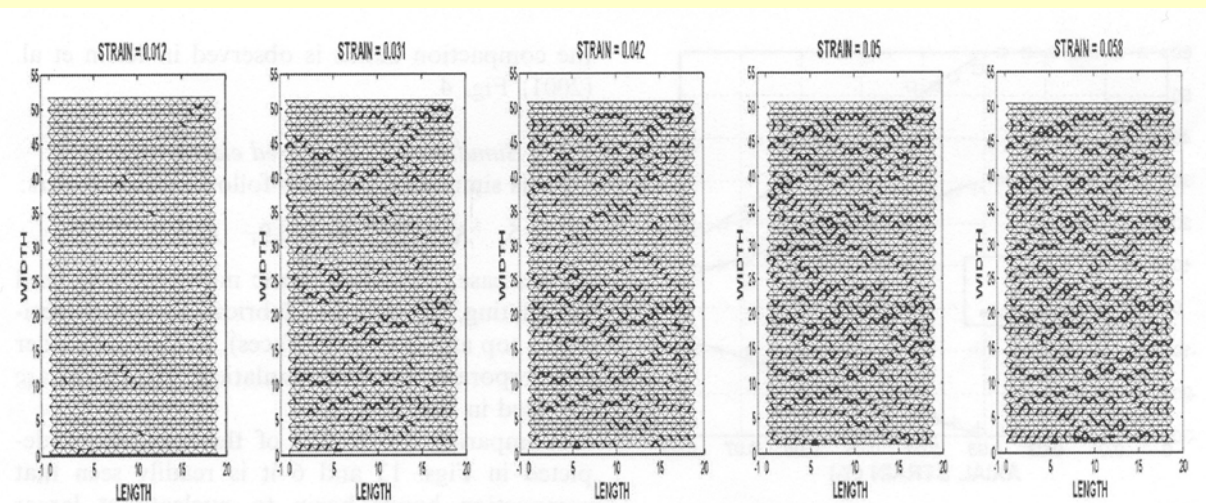
no disorder



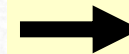
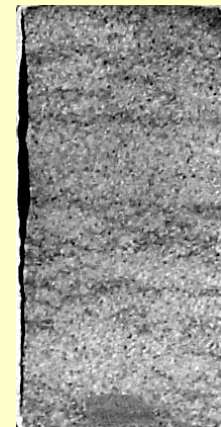
Bentheim  
~ 100% quartz



large disorder



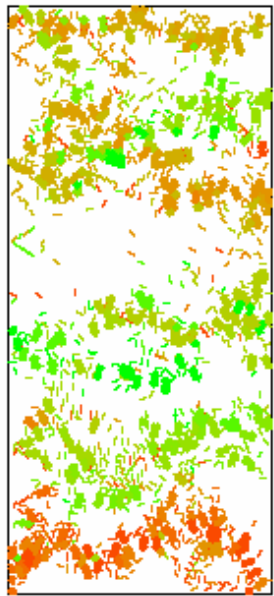
Diemelstadt  
68% quartz, 26% feld.



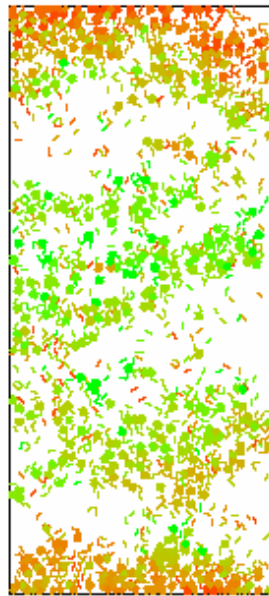
## 2-Dimensional Discrete Element Model:

The porous sandstone is modeled as a bonded assembly of circular disks subjected to 3 damage mechanisms: cohesion loss, relative movement among grains and intragranular cracking.

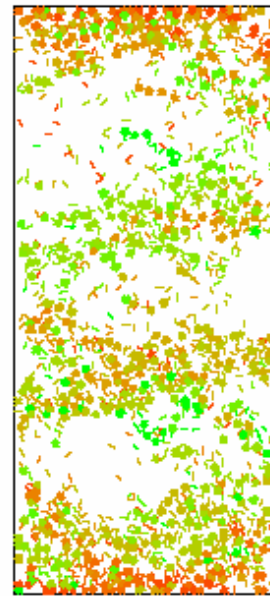
Geometric regularity tends to promote the development of discrete compaction bands in the DEM simulations



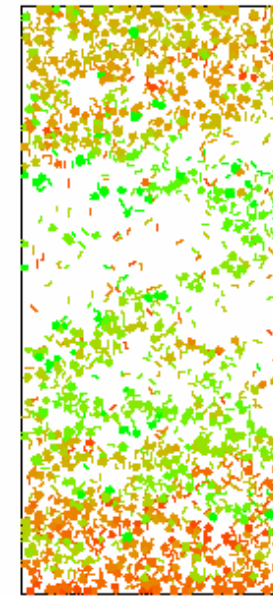
(a) R5r1,  $\sigma_x = 70MPa$



(b) R45r12,  $\sigma_x = 70MPa$



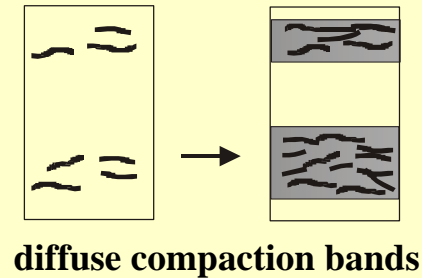
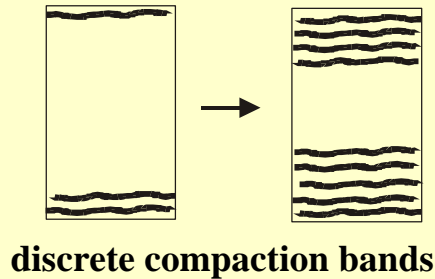
(c) R4r15,  $\sigma_x = 70MPa$



(d) R33r2,  $\sigma_x = 70MPa$

# Conclusions

- Compaction localization was observable in most sandstones in the brittle-ductile transition.
- Several failure modes were observed:



- Each failure mode has a typical AE signature.
- Permeability and its anisotropy are sensitively dependent on strain localization.

# Future work

- mechanisms leading to nucleation and growth of compaction bands:
  - AE location in Bentheim sandstone
  - analysis of grain contacts
  - tests on synthetic sandstones
- influence of heterogeneity and anisotropy
  - network model with a disorder parameter  $D$
  - influence of sedimentary bedding on compaction localization
- impact of strain localization at reservoir scale
- compaction localization in carbonates ?

- Teng-fong Wong, Veronika Vajdova, Sheryl Tembe and Laurent Louis (*Stony Brook*)
- Emmanuelle Klein (*INERIS*)
- Jérôme Fortin (*ENS Paris*)
- Christian David (*Cergy Pontoise*)
- Pierre Bésuelle (*INPG*)
- Xiangyang Wu (*IGG/CAS, Beijing*)
- Kurt Sternlof (*Stanford*)
- Kathleen Issen (*Clarkson*) and John Rudnicki (*Northwestern*)

# Failure mode map with two active yield surfaces (*Issen, 2002*)

